

# Catalytic Activity of Aliphatic PNP Ligated Co<sup>III/I</sup> Amine and Amido Complexes in Hydrogenation Reaction—Structure, Stability, and Substrate Dependence

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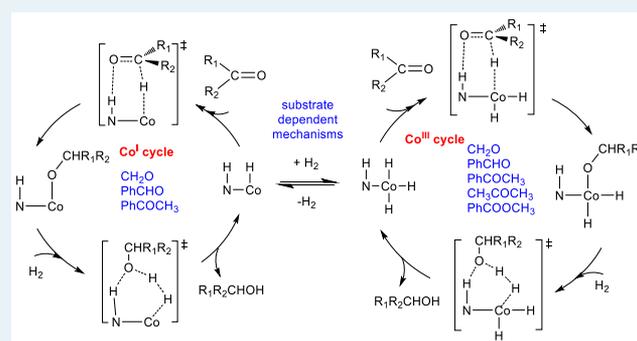
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**ABSTRACT:** Structures, energies, and stability of aliphatic PNP ligated Co<sup>III</sup>/Co<sup>I</sup> amine and amido complexes in different spin (singlet, triplet, and open-shell singlet) states and coordination spheres have been computed. Spin exchange is found for the interconversion between the singlet Co<sup>III</sup> amine and the triplet Co<sup>I</sup> amine complexes as well as the singlet Co<sup>III</sup> amido and the triplet Co<sup>I</sup> amido complexes. For the hydrogenation of CH<sub>2</sub>O, PhCHO, and PhCOCH<sub>3</sub> having lower Gibbs free energy barriers than that of the interconversion from the singlet Co<sup>III</sup> amine and the triplet Co<sup>I</sup> amine complexes, both singlet Co<sup>III</sup> amine and triplet Co<sup>I</sup> amine complexes are active catalysts and both catalytic cycles are independent, and the triplet Co<sup>I</sup> amine complex is more active than the singlet Co<sup>III</sup> amine complex. For the hydrogenation of PhCOOCH<sub>3</sub> having higher barrier than that of catalyst interconversion, the singlet Co<sup>III</sup> amine complex is the sole active catalyst and more active than the triplet Co<sup>I</sup> amine complex. For the hydrogenation of CH<sub>3</sub>COCH<sub>3</sub>, both catalytic cycles can be competitive depending on reaction temperature. These reveal the substrate dependent mechanisms. The correlation between the hydride affinity of the substrate and the H<sup>-</sup> transfer barrier for aldehydes and ketones as well as between the deprotonation energy of alcohol and the reverse barrier of H<sup>+</sup> transfer indicates that the energy of the transition state of the H<sup>-</sup>/H<sup>+</sup> transfer via the corresponding most favorable mechanism can be estimated by the hydride affinity of substrate and the deprotonation energy of the product.

**KEYWORDS:** Co<sup>III</sup>/Co<sup>I</sup> complexes, PNP ligands, stability, spin states, interconversion, catalysis, hydrogenation



## INTRODUCTION

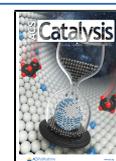
Homogeneous hydrogenation reaction, which enables selective transformation of unsaturated substrates into desired products under mild conditions,<sup>1,2</sup> represents one of the most important methodologies in academic research and industrial applications. To fulfill the principle of sustainable and green chemistry as well as economical investment, current research focus has shifted to earth-abundant 3d transitional metals, although traditional noble transition metal complexes exhibit excellent catalytic activities in hydrogenation processes.<sup>3–6</sup> With respect to the milestone work of Shvo and Noyori in metal–ligand bifunctional catalysis,<sup>7–9</sup> catalytic hydrogenation and dehydrogenation with bifunctional complexes have been widely explored and applied.<sup>10–16</sup> Among them, tridentate pincer ligated metal complexes bearing bifunctional site for reversible protonation and deprotonation interconversion via the embedded nitrogen in aromatic pyridine PN<sub>py</sub>P [NC<sub>5</sub>H<sub>3</sub>(CH<sub>2</sub>PR<sub>2</sub>)<sub>2</sub>] or aliphatic amine PNP [HN(CH<sub>2</sub>CH<sub>2</sub>PR<sub>2</sub>)<sub>2</sub>] ligands have been proven as effective catalysts for reactions involving hydrogenation and dehydrogenation steps.<sup>17–19</sup> Recent studies proved that pincer ligated complexes bearing similar ligand and coordination frameworks provide an opportunity for replacing noble metals

by base metals. The most extensively tested candidates for reactions involving hydrogenation and dehydrogenation steps are Fe<sup>18,20–24</sup> and Mn<sup>19,25,26</sup> based PNP complexes. These complexes show excellent catalytic activities in the hydrogenation of nitriles, imines, alkenes, transfer hydrogenation of carbonyl compounds, acceptor-less dehydrogenative coupling of alcohols to esters/amides, and aqueous methanol dehydrogenation which includes formic acid dehydrogenation in the last step to H<sub>2</sub> and CO<sub>2</sub>.<sup>12,17,26,27</sup> Besides Fe and Mn PNP pincer complexes, Co PNP pincer complexes are attracting increasing attention.<sup>12,26,28,29</sup> However, Co PNP complexes are much more complicated due to their different oxidation and spin states via electron transfer and/or different coordination environment.<sup>30,31</sup> Scheme 1 (top) shows the interconversion of Co

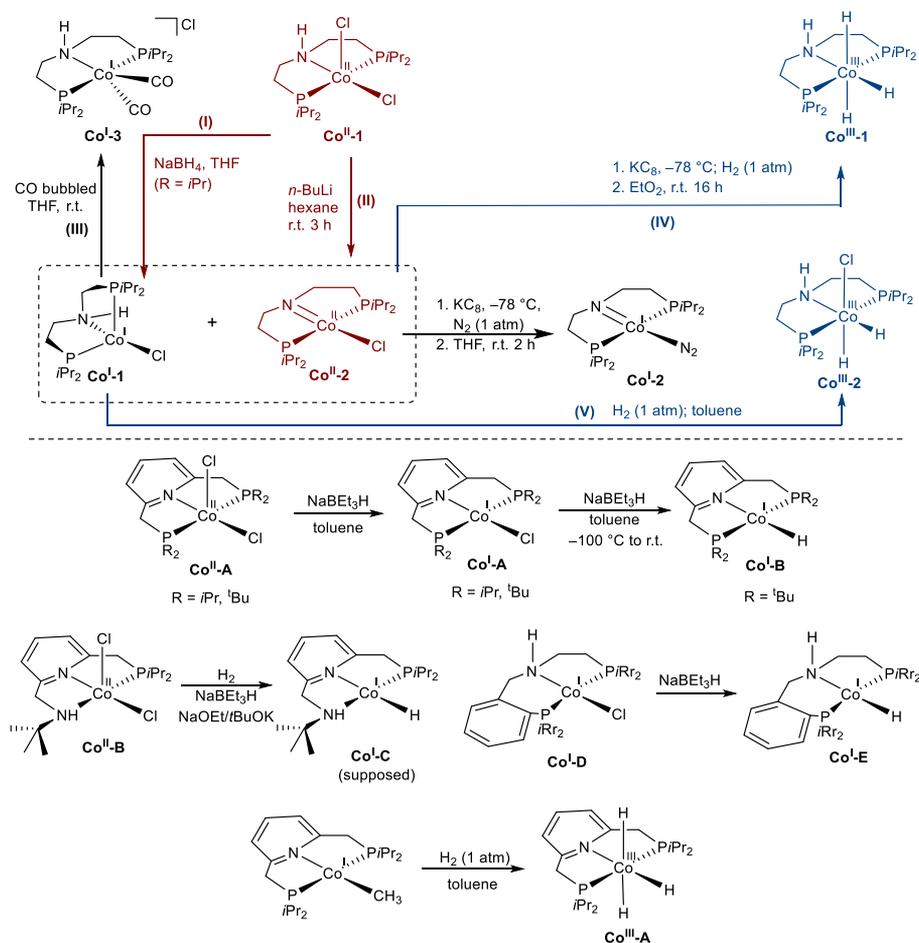
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Scheme 1. Reported Co Pincer Complexes in Exchangeable Oxidation States



complexes in different oxidation states under different reaction conditions (I,<sup>32</sup> II,<sup>33</sup> III,<sup>33,34</sup> IV, and V<sup>35</sup>), and this complexity makes the experimental identification and characterization of active Co species as well as mechanistic study difficult.

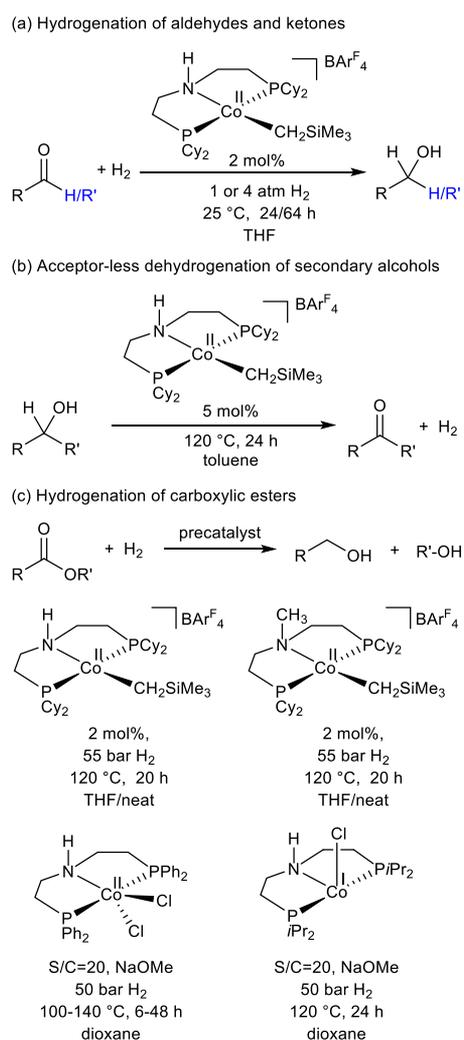
In recent years, scientists explored the possible real catalysts when utilizing other cobalt pincer complexes. Relative systems and reactions are outlined in Scheme 1 (bottom). The Chirik group validated that monovalent cobalt complexes [(PNP)-Co<sup>I</sup>Cl] (Co<sup>I</sup>-A) and [(PNP)Co<sup>I</sup>H] (Co<sup>I</sup>-B) are generated when applying 1 and 2 equiv of NaHBt<sub>3</sub> with [(PNP)-Co<sup>II</sup>(Cl)<sub>2</sub>] (Co<sup>II</sup>-A).<sup>36,37</sup> Milstein and co-workers inferred that an in situ monovalent cobalt hydride [(PNNH)Co<sup>I</sup>H] (Co<sup>I</sup>-C) complex is the active catalyst under the reaction conditions when applying the [(PNNH)Co<sup>II</sup>(Cl)<sub>2</sub>] (Co<sup>II</sup>-B) complex as the precatalyst in the hydrogenation of esters,<sup>38</sup> dehydrogenative coupling of diols and amines,<sup>39</sup> and selective hydrogenation of nitriles to primary amines.<sup>40</sup> In addition, the isolated paramagnetic species, possibly [(iPr-PN<sup>H</sup>P)Co<sup>I</sup>H] (Co<sup>I</sup>-E), is generated when treating [(iPr-PN<sup>H</sup>P)Co<sup>I</sup>Cl] (Co<sup>I</sup>-D) with 1 equiv of NaBEt<sub>3</sub>H. Furthermore, the Co–H stretching frequency is displayed in IR spectrum and possible complexes [(iPr-PN<sup>H</sup>P)Co<sup>I</sup>H] shows perfect catalytic activity without base additive.<sup>41</sup> Sandip found that the Co–H bond may play an important role in the selective hydrogenation of esters to aldehydes and alcohols, and this hydrogenation reaction involves metal–ligand cooperation.<sup>42</sup> Monochloro species [(PNP)Co<sup>I</sup>Cl] (Co<sup>I</sup>-1) shows excellent catalytic activity in the hydrogenation of methyl benzoate, indicating that the

monovalent or trivalent hydride complex is the possible active species.<sup>32</sup> In 2011, Yang predicted the trivalent complex [(PNP)Co<sup>III</sup>(H)<sub>3</sub>] (Co<sup>III</sup>-A) as a potential computationally active catalyst.<sup>43</sup> Furthermore, Chirik's group identified the trihydride cobalt complex Co<sup>III</sup>-A, which can easily give a reductive product once the H<sub>2</sub> atmosphere is removed.<sup>36</sup> In addition, a trivalent cobalt complex [(HPNP)Co<sup>III</sup>(H)<sub>3</sub>] (Co<sup>III</sup>-1) is produced when treating [(PNP)Co<sup>I</sup>Cl] (Co<sup>I</sup>-1) with KC<sub>8</sub> under H<sub>2</sub> atmosphere via oxidative addition and different orientations of the PNP-backbone have a great influence on the stability of Co<sup>III</sup>-1.<sup>35</sup> Studying catalysts under reaction conditions challenge not only experiment but also computation. Even problematic is the minimum energy path involving spin exchange among the singlet, triplet, and open-shell singlet states on the Gibbs free energy profiles.<sup>44,45</sup>

Such complexities, however, provide opportunities for unprecedented coordination chemistry, distinct mechanistic pathways, and unique activities. Despite difficulties in identifying and characterizing active species from experiments, it is important to study Co PNP active catalysts for understanding its stability and interconversion in different oxidation states, spin states and geometries. To the best of our knowledge, there are no such systematic studies focusing on structural analysis and a full reaction network for aliphatic Co PNP species interconversion. In catalytic reactions, both inner-sphere and outer-sphere mechanisms as well as innocent and noninnocent mechanisms of the ligand were proposed for Co PNP catalyzed (de)hydrogenation for specific substrates,

however, whether these mechanisms are competitive or exchangeable need to be investigated. In this work, we computed the interconversion among the  $\text{Co}^{\text{III}}/\text{Co}^{\text{I}}$  amine and amido hydride cobalt complexes to understand its stability, spin states, and geometries. Further, we investigated their thermal stability and interconversion of  $\text{Co}^{\text{I-}}$ ,  $\text{Co}^{\text{III}}$ -amine, and amido complexes as well as the hydrogenation mechanisms of aldehydes ( $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ ), ketones ( $\text{CH}_3\text{COCH}_3$ ,  $\text{PhCOCH}_3$ ), and ester ( $\text{PhCOOCH}_3$ ). The experimentally reported studies of the hydrogenation of aldehydes and ketones,<sup>34</sup> the acceptor-less dehydrogenation of secondary alcohols<sup>46</sup> and the hydrogenation of carboxylic esters<sup>31,32</sup> using different well-defined Co-PNP pincer complexes were shown in Scheme 2. The reactivity and properties of the catalyst

### Scheme 2. Co PNP Catalyzed Hydrogenation of Aldehydes, Ketones,<sup>34</sup> and Carboxylic Esters,<sup>31,32</sup> as well as Acceptor-Dehydrogenation of Secondary Alcohols<sup>46</sup>



and substrate were correlated. We expect that this study will be helpful for the optimization of reaction parameters and catalyst design.

### COMPUTATIONAL METHODS

All geometry optimization and frequency analysis of reactants, intermediates, and transition states were performed using the Gaussian 16 program.<sup>47</sup> Aiming to find the most suitable level in

our reaction system, we tested a couple of common functional used in organometallic catalytic systems along with the TZVP<sup>48</sup> basis set in the gas phase. Frequency calculations were performed for all optimized structures with only one imaginary frequency for transition states and only real frequencies for minimum structures. Considering the specific reaction conditions, we carried out geometry optimization and the corresponding frequencies in 1,4-dioxane solution based on solute electron density (SMD<sup>49</sup>). The benchmark test in solution shows that the M06-L<sup>50</sup> functional has a minimum deviation from the experimental thermodynamic data (Figure S1 of the Supporting Information, SI). We used the corrected Gibbs free energy at 298 K and 1 atm derived from the frequency analysis in solution for our discussion and analysis. The Gibbs free energy in black (or <sup>1</sup>a) denotes singlet states, in red (or <sup>3</sup>a) displays triplet states and in purple (or <sup>0</sup>Sa) is used for open-shell singlet states.

### RESULTS AND DISCUSSION

**Stability and Interconversion of  $\text{Co}^{\text{I-}}$ ,  $\text{Co}^{\text{III}}$ -Amine, and Amido Complexes. Minimum Energy Path for the Interconversion  $\text{Co}^{\text{I-}}$ ,  $\text{Co}^{\text{III}}$ -Amine, and Amido Complexes.** The full Gibbs free energy profile with all transition states and intermediates are given in the SI (Figure S2) and the minimum energy path is shown in Figure 1. It is found that both  $\text{Co}^{\text{III}}$  amine and amido complexes have closed-shell singlet ground states, due to their 18-valence-electron configurations. Moreover, the triplet state is the ground state for the monovalent  $\text{Co}^{\text{I}}$  complexes. Starting from the most stable singlet <sup>1</sup> $2\text{Co}^{\text{III}}$  amine complex, the interconversion to the singlet <sup>1</sup> $1\text{Co}^{\text{III}}$  amido complex prefers a concerted transition state with a Gibbs free energy barrier of 100.7 kJ/mol via <sup>1</sup> $\text{TS-H}_2\text{-Co}^{\text{III}}$  and is endergonic by 27.2 kJ/mol. For the amine complex (<sup>2</sup> $\text{Co}^{\text{I}}$ ), we computed a twisted structure in  $C_1$  symmetry (<sup>2</sup> $\text{Co}^{\text{I}}_{\text{dist}}$ ) and a  $C_s$  symmetrical isomer (<sup>2</sup> $\text{Co}^{\text{I}}/C_s$ ). It is found that both isomers have triplet ground states, and the twisted  $C_1$  form is more stable than the  $C_s$  form by 10.7 kJ/mol. In 2013, Arnold's group found that  $[\text{Co}^{\text{I}}\text{Cl}(\text{HN}(\text{CH}_2\text{CH}_2\text{P}i\text{Pr}_2)_2)]$  possess two unpaired electrons,<sup>33</sup> which coincides with the triplet ground state of <sup>3</sup> $2\text{Co}^{\text{I}}$ . Therefore, we defined the most stable twisted  $C_1$  form in triplet ground state (<sup>3</sup> $2\text{Co}^{\text{I}}_{\text{dist}}$ ) as <sup>3</sup> $2\text{Co}^{\text{I}}$  for our calculation. The interconversion from singlet <sup>1</sup> $2\text{Co}^{\text{III}}$  to the singlet <sup>1</sup> $2\text{Co}^{\text{I}}$  amine complex possesses a most favorable transition state <sup>1</sup> $\text{TS-OA2}$  with a barrier of 84.2 kJ/mol and is endergonic by 67.4 kJ/mol. However, it is noted that the singlet <sup>1</sup> $2\text{Co}^{\text{I}}$  amine complex is less stable than the corresponding triplet state and spin exchange takes place and is exergonic by 38.4 kJ/mol. Compared with the interconversion between <sup>1</sup> $2\text{Co}^{\text{III}}$  and <sup>1</sup> $1\text{Co}^{\text{III}}$ , that between <sup>1</sup> $2\text{Co}^{\text{III}}$  and <sup>3</sup> $2\text{Co}^{\text{I}}$  has lower barrier (84.2 vs 100.7 kJ/mol) and is closely endergonic (29.0 vs 27.2 kJ/mol), revealing their thermodynamic competition. However, spin exchange from the singlet amine <sup>1</sup> $2\text{Co}^{\text{III}}$  to the triplet amine <sup>3</sup> $2\text{Co}^{\text{I}}$  should take place after the transition state. On the basis of the coordination environment and for the hydrogenation reactions, the singlet <sup>1</sup> $1\text{Co}^{\text{III}}$  amido complex should prefer outer-sphere mechanism, while the triplet <sup>3</sup> $2\text{Co}^{\text{I}}$  amine complex should favor the inner-sphere mechanism. However, these require both experimental and computational evidence and confirmation.

Starting from the <sup>3</sup> $2\text{Co}^{\text{I}}$  amine complex, it is possible to go through transition state <sup>3</sup> $\text{TS-H}_2\text{-Co}^{\text{I}}$  generating the corresponding ground state <sup>3</sup> $1\text{Co}^{\text{I}}$  amido complex; and this interconversion has a barrier of 86.7 kJ/mol and is endergonic

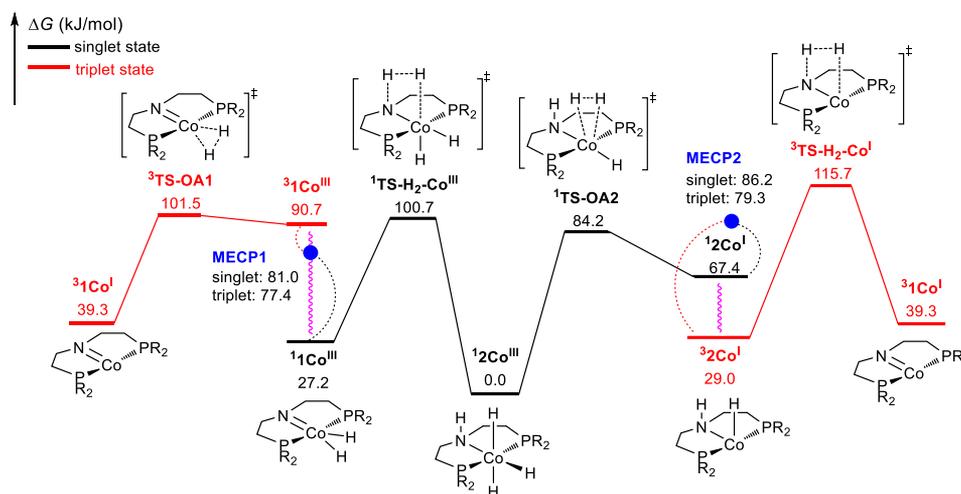
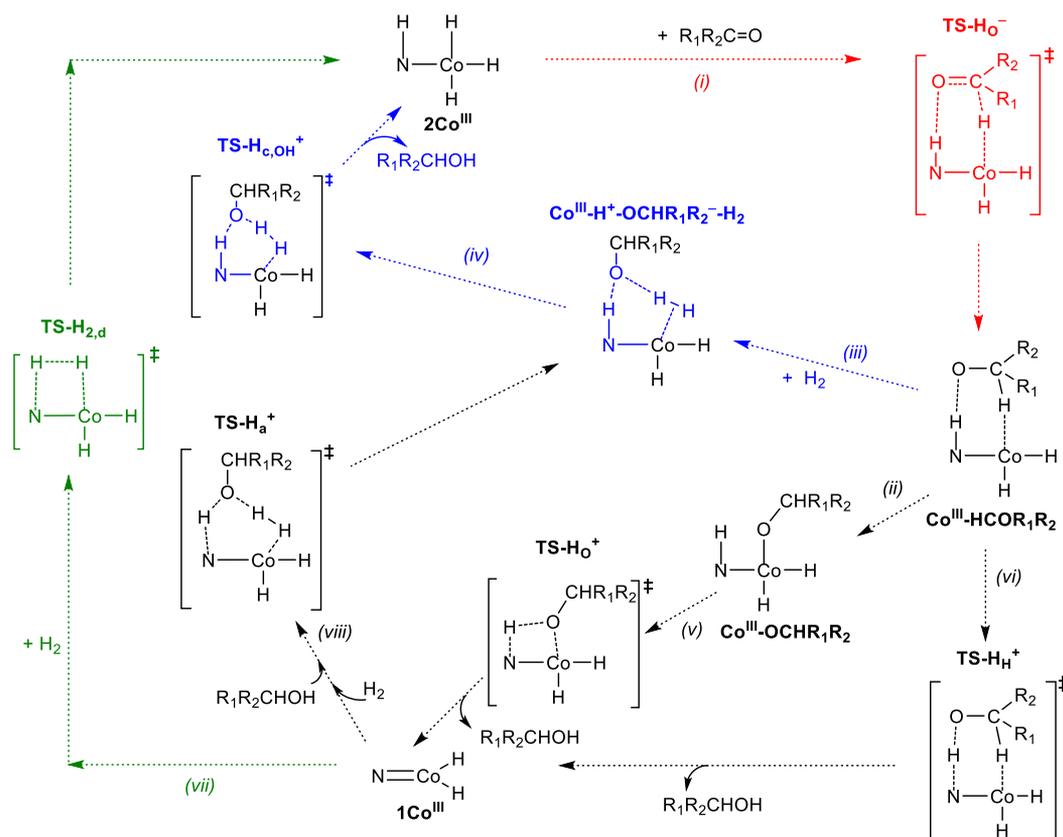


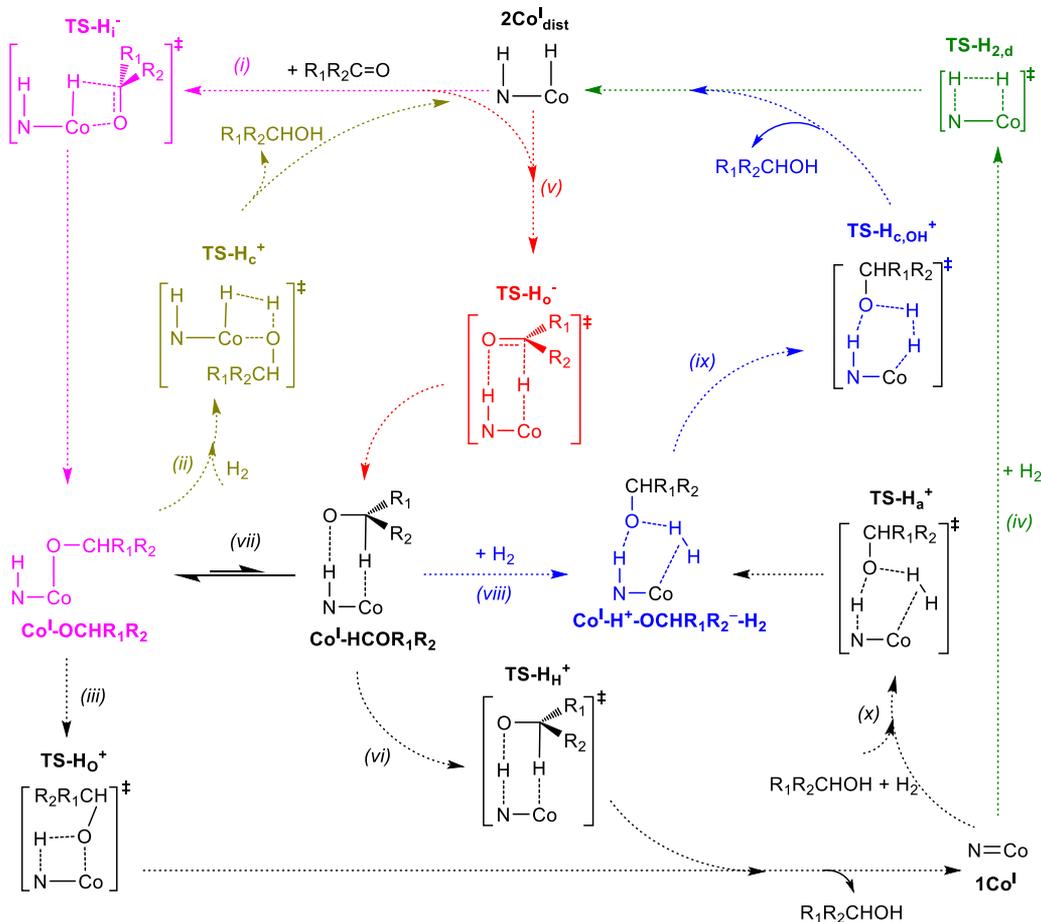
Figure 1. Minimum energy path of the  $\text{Co}^{\text{III}}$  and  $\text{Co}^{\text{I}}$  complexes interconversion ( $\text{R}$  = isopropyl).

### Scheme 3. Proposed Mechanisms of $\text{Co}^{\text{III}}$ Catalyzed $\text{C}=\text{O}$ Hydrogenation



by 10.3 kJ/mol. Compared with the interconversion between  $^1\text{Co}^{\text{III}}$  and  $^3\text{Co}^{\text{III}}$ , the interconversion between  $^3\text{Co}^{\text{I}}$  and  $^1\text{Co}^{\text{I}}$  has lower barrier (86.7 vs 100.7 kJ/mol) and is less endergonic (10.3 vs 27.2 kJ/mol), revealing that trivalent  $\text{Co}^{\text{III}}$  and monovalent  $\text{Co}^{\text{I}}$  complexes should have different stability and activity. On the contrary, the interconversion from the singlet  $^1\text{Co}^{\text{III}}$  amido complex to the triplet  $^3\text{Co}^{\text{I}}$  amido complex needs spin exchange at first, i.e., from the singlet  $^1\text{Co}^{\text{III}}$  to the triplet  $^3\text{Co}^{\text{III}}$  of being endergonic by 63.5 kJ/mol; and has a total barrier of 74.3 kJ/mol and is endergonic by 12.1 kJ/mol. In addition, we searched the minimum energy crossing point (MECP) by using the same method<sup>45</sup> and program

(sobMECP).<sup>51,52</sup> The respective thermal correction to Gibbs free energy for the optimized MECPs was calculated by using projected frequency analysis in different spin states.<sup>53</sup> All relative data are given in Table S2 and the MECPs are shown in Figure 1. For the spin-crossing from the singlet  $^1\text{Co}^{\text{III}}$  to the triplet  $^3\text{Co}^{\text{III}}$ , singlet  $^1\text{Co}^{\text{III}}$  transforms to triplet  $^3\text{Co}^{\text{III}}$  via the MECP1 (81.0 kJ/mol). For the spin-crossing between from the singlet  $^1\text{Co}^{\text{I}}$  to the triplet  $^3\text{Co}^{\text{I}}$ , singlet state of  $^1\text{Co}^{\text{I}}$  converts to triplet state of  $^3\text{Co}^{\text{I}}$  via MECP2 (86.2 kJ/mol). It is also noted that for MECP1, the Gibbs free energy difference and the difference of total electronic energy are close (53.8 vs 64.1 kJ/

Scheme 4. Proposed Mechanism of  $\text{Co}^I$  Catalyzed  $\text{C}=\text{O}$  Hydrogenation

mol) and the same is also found for MECP2 (18.8 vs 21.8 kcal/mol).

The minimum energy path shows that the highest point on the Gibbs free energy profile is 115.7 kJ/mol; which is in the range of the free energy barriers of many reactions, such as the dehydrogenation of formic acid (120.3 kJ/mol),<sup>54</sup> transfer hydrogenation of ketone (112.4 kJ/mol),<sup>55</sup> and reductive amination (108 kJ/mol).<sup>56</sup> For using Co-based PNP catalysts, therefore, it is necessary to modify the reaction conditions (pressure and temperature) to maintain the stability of the catalysts and to adjust the reaction mechanisms for the catalytic activity and reaction selectivity. As shown in the SI (Figures S7 and S8), the stability order of these intermediates can be affected by substitution, such as chloride and methoxide instead of hydride.

**Catalytic Activity of  $\text{Co}^{\text{III}}$ -,  $\text{Co}^{\text{I}}$ -Amine, and Amido Complexes in Carbonyl Hydrogenation. Mechanism of  $\text{Co}^{\text{III}}$  Catalyzed  $\text{C}=\text{O}$  Hydrogenation.** For the hydrogenation of carbonyl functional groups catalyzed by the aliphatic PNP  $2\text{Co}^{\text{III}}$  complex, the reaction process consists of two steps, i.e.,  $\text{H}^-$  transfer and  $\text{H}^+$  transfer (Scheme 3). In the  $\text{H}^-$  transfer step (i, red line), the  $\text{H}^-$  coordinated intermediate  $\text{HCOR}_1\text{R}_2^- [\text{Co}^{\text{III}}-\text{HCOR}_1\text{R}_2^-]$  is generated via transition state  $\text{TS}-\text{H}_\text{O}^-$ , and this intermediate can easily isomerize to the O-coordinated intermediate  $\text{OCHR}_1\text{R}_2^- [\text{Co}^{\text{III}}-\text{OCHR}_1\text{R}_2^-]$  (ii). Next, the  $\text{H}^+$  transfer step has two possible routes, i.e., the innocent or the stepwise noninnocent mechanism. In the H-bonding stabilized innocent mechanism (iii, blue line),  $\text{H}_2$  is first coordinated to the metal center forming intermediate  $\text{Co}^{\text{III}}-\text{H}^+-\text{OCHR}_1\text{R}_2^- -\text{H}_2$ .

Next, the regeneration of  $2\text{Co}^{\text{III}}$  and the release of alcohol can occur via the six-membered-ring transition state  $\text{TS}-\text{H}_\text{c,OH}^+$  (iv), where the N-H group stabilizes the transition state through the H-bonding. In this case,  $2\text{Co}^{\text{III}}$  is the real catalyst and  $1\text{Co}^{\text{III}}$  is off-cycle species for innocent mechanism. In the noninnocent mechanism, the  $\text{H}^+$  transfer and  $\text{H}_2$  addition are in stepwise fashion rather than simultaneous. First, the  $\text{H}^+$  transfer starts from transition state  $\text{Co}^{\text{III}}-\text{OCHR}_1\text{R}_2^- (\text{TS}-\text{H}_\text{O}^+)$  (v) or from transition state  $\text{Co}^{\text{III}}-\text{HCOR}_1\text{R}_2^- (\text{TS}-\text{H}_\text{H}^+)$  (vi) forming alcohol ( $\text{R}_1\text{R}_2\text{CHOH}$ ) and amido complex of  $1\text{Co}^{\text{III}}$ . Starting from the amido complex  $1\text{Co}^{\text{III}}$ , there are two possible ways to regenerate  $2\text{Co}^{\text{III}}$ . One is the direct  $\text{H}_2$  addition to  $1\text{Co}^{\text{III}}$  via transition state  $\text{TS}-\text{H}_2,\text{d}$  (vii, green line), which is a direct addition pathway of the noninnocent mechanism. The other one is the ROH assisted pathway of the noninnocent mechanism (viii), in which alcohol assists the stepwise  $\text{H}^+$  transfer from alcohol to the amido N via transition state  $\text{TS}-\text{H}_\text{a}^+$  generating intermediate  $\text{Co}^{\text{III}}-\text{H}^+-\text{OCHR}_1\text{R}_2^- -\text{H}_2$ . The next step (iv) is the heterolytic cleavage of  $\text{H}_2$  via transition state  $\text{TS}-\text{H}_\text{c,OH}^+$  along with alcohol release and  $2\text{Co}^{\text{III}}$  regeneration. It is noted that the transition state  $\text{TS}-\text{H}_\text{c,OH}^+$  for  $2\text{Co}^{\text{III}}$  regeneration is also the second  $\text{H}^+$  transfer step of H-bonding stabilized innocent mechanism (iii).

**Mechanisms of  $\text{Co}^{\text{I}}$  Catalyzed  $\text{C}=\text{O}$  Hydrogenation.** Different from the 18-electron  $2\text{Co}^{\text{III}}$  complex, the monohydride complex  $2\text{Co}^{\text{I}}$  has a vacant site for substrate coordination, and therefore the  $\text{H}^-$  transfer can occur via outer-sphere ( $\text{TS}-\text{H}_\text{O}^-$ ) or inner-sphere ( $\text{TS}-\text{H}_\text{i}^-$ ) manners (Scheme 4). After the  $\text{H}^-$  transfer step, the  $\text{H}^+$  transfer can occur

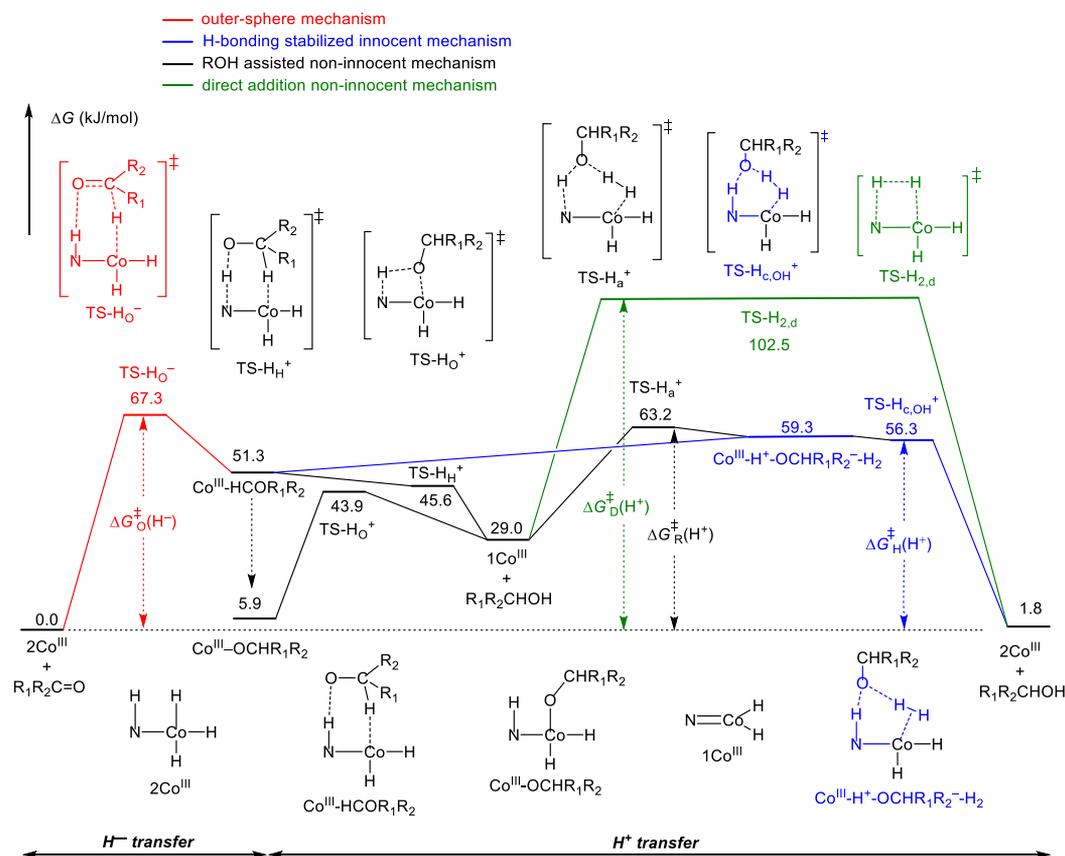


Figure 2. Gibbs free energy profile of  $2\text{Co}^{\text{III}}$  catalyzed  $\text{PhCOCH}_3$  hydrogenation.

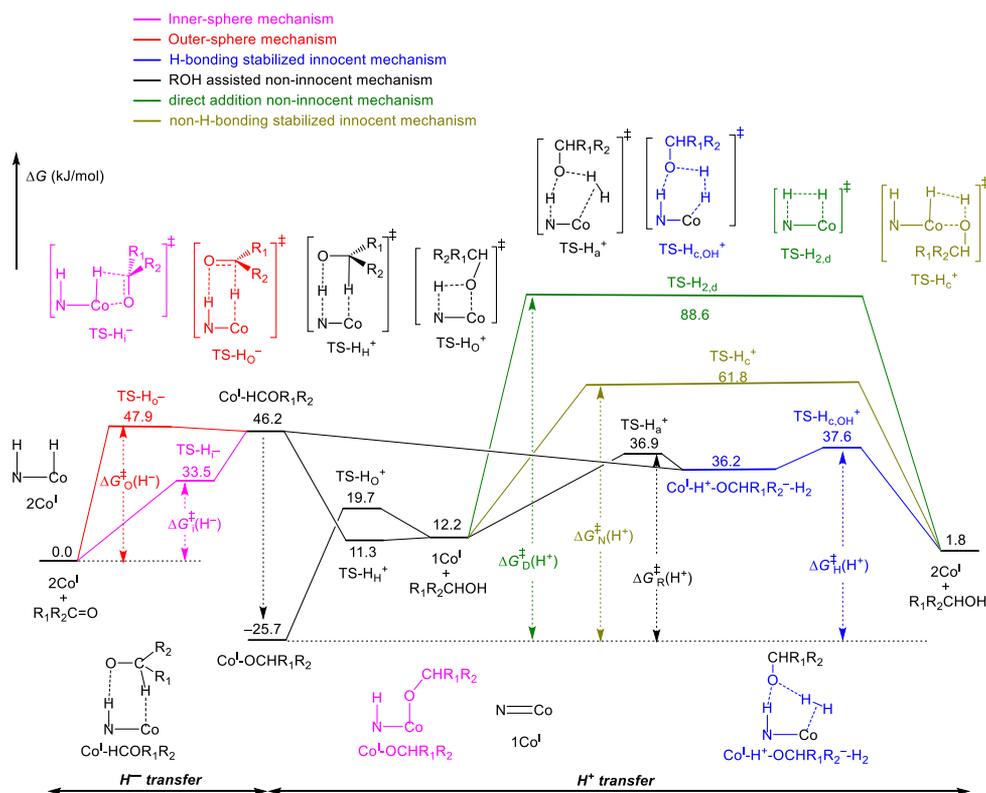


Figure 3. Gibbs free energy profile of  $2\text{Co}^{\text{I}}$  catalyzed  $\text{PhCOCH}_3$  hydrogenation.

via the innocent or the stepwise noninnocent mechanisms. In the inner-sphere mechanism (i, purple line), the  $\text{H}^-$  insertion

from  $\text{Co-H}$  to the carbon of the  $\text{C}=\text{O}$  bond via transition state of  $\text{TS-H}_\text{i}^-$  forms the O coordinated intermediate  $\text{Co}^{\text{I}}\text{-}$

Table 1. Gibbs Free Energy Barrier (kJ/mol) for All Substrates Catalyzed by  $2\text{Co}^{\text{III}}$ 

$\Delta G^\ddagger$ (kJ/mol)	H <sup>+</sup> transfer			
	outer-sphere	direct addition noninnocent	ROH assisted noninnocent	H-bonding stabilized innocent
CH <sub>2</sub> O	14.0	107.1	73.9	70.8
PhCHO	30.7	117.9	68.5	68.5
CH <sub>3</sub> COCH <sub>3</sub>	69.2	99.4	70.0	70.0
PhCOCH <sub>3</sub>	67.3	102.5	63.2	56.3
PhCOOCH <sub>3</sub>	102.0	157.6	102.8	90.6

$\text{OCHR}_1\text{R}_2$ . In the outer-sphere mechanism (v, red line), the H<sup>-</sup> transfer via transition state of  $\text{TS-H}_\text{O}^-$  forms the H coordinated intermediate  $\text{Co}^{\text{I}}-\text{HCOR}_1\text{R}_2$ , which can readily convert to the O coordinated intermediate  $\text{Co}^{\text{I}}-\text{OCHR}_1\text{R}_2$  (vii).

Both innocent and noninnocent mechanisms are considered in the H<sup>+</sup> transfer step. In the H-bonding stabilized innocent mechanism (blue line), H<sub>2</sub> firstly coordinates to the metal center forming the intermediate  $\text{Co}^{\text{I}}-\text{H}^+-\text{OCHR}_1\text{R}_2-\text{H}_2$  (viii) and the coordinated H<sub>2</sub> undergoes concerted heterolytic cleavage (ix), and the corresponding proton transfer via transition state of  $\text{TS-H}_{\text{c,OH}}^+$  results in the release of alcohol and the regeneration of  $2\text{Co}^{\text{I}}$ . Since the vacant site is available for  $\text{Co}^{\text{I}}-\text{OCHR}_1\text{R}_2$ ,  $\sigma$ -bond metathesis of H<sub>2</sub> via the four-membered-ring transition state  $\text{TS-H}_{\text{c}}^+$ , which is the non-H-bonding stabilized innocent mechanism (ii, brown line), is also considered.

In the noninnocent mechanism, the H<sup>+</sup> transfer can also occur from transition state  $\text{Co}^{\text{I}}-\text{OCHR}_1\text{R}_2(\text{TS-H}_{\text{O}}^+)$  (iii) or transition state  $\text{Co}^{\text{I}}-\text{HCOR}_1\text{R}_2(\text{TS-H}_{\text{H}}^+)$  (vi) along with the generation of alcohol ( $\text{R}_1\text{R}_2\text{CHOH}$ ) and the amido complex  $1\text{Co}^{\text{I}}$ . From the amido complex  $1\text{Co}^{\text{I}}$ ,  $2\text{Co}^{\text{I}}$  can be regenerated through two possible routes; the noninnocent mechanism through H<sub>2</sub> oxidation addition to  $1\text{Co}^{\text{I}}$  via transition state  $\text{TS-H}_{2,\text{d}}$  (iv, green line), and the ROH assisted noninnocent mechanism (x), in which the proton of alcohol shifts to N of  $1\text{Co}^{\text{I}}$  via transition state  $\text{TS-H}_{\text{a}}^+$ . Following the transition state  $\text{TS-H}_{\text{a}}^+$ ,  $2\text{Co}^{\text{I}}$  is regenerated via intermediate  $\text{Co}^{\text{I}}-\text{H}^+-\text{OCHR}_1\text{R}_2-\text{H}_2$  and transition state  $\text{TS-H}_{\text{c,OH}}^+$ . It is noted that the transition state  $\text{TS-H}_{\text{c,OH}}^+$  is the vital step for both H-bonding stabilized innocent and ROH assisted noninnocent mechanism (ix).

**Catalytic Activity of CH<sub>2</sub>O, PhCHO, CH<sub>3</sub>COCH<sub>3</sub>, PhCOCH<sub>3</sub>, and PhCOOCH<sub>3</sub> Hydrogenation.** On the basis of the proposed reaction mechanisms in Schemes 3 and 4, we computed the hydrogenation of CH<sub>2</sub>O, PhCHO, CH<sub>3</sub>COCH<sub>3</sub>, PhCOCH<sub>3</sub>, and PhCOOCH<sub>3</sub> using both  $\text{Co}^{\text{III}}$  and  $\text{Co}^{\text{I}}$  catalysts. The full Gibbs free energy profiles for each substrate are shown in the SI (Figures S9–S18), and there are in total 10 such Gibbs free energy profiles. Due to their high similarities, the full Gibbs free energy profiles of PhCOCH<sub>3</sub> hydrogenation ( $\text{R}_1 = \text{Ph}$ ,  $\text{R}_2 = \text{CH}_3$ ) are discussed in Figures 2 and 3.

For the  $2\text{Co}^{\text{III}}$  catalyzed hydrogenation of PhCOCH<sub>3</sub> (Figure 2), the Gibbs free energy barrier of the H<sup>-</sup> transfer step, ( $\Delta G_{\text{O}}^\ddagger(\text{H}^-)$ , red line), defined as the energy difference between  $\text{TS-H}_{\text{O}}^-$  and the sum of  $2\text{Co}^{\text{III}}$  and PhCOCH<sub>3</sub>, is 67.3 kJ/mol. For the hydrogenation of PhCHO, CH<sub>3</sub>COCH<sub>3</sub>, and PhCOOCH<sub>3</sub>, the reference point of the H<sup>-</sup> transfer step is the sum of  $2\text{Co}^{\text{III}}$  and the corresponding  $\text{R}_1\text{R}_2\text{C}=\text{O}$ . While for CH<sub>2</sub>O hydrogenation, a slightly more stable coordinated

structure  $2\text{Co}^{\text{III}}-\text{CH}_2\text{O}$  is found to be the starting point of the H<sup>-</sup> transfer step (Figure S9)

For PhCOCH<sub>3</sub> hydrogenation, the sum of  $2\text{Co}^{\text{III}}$  and PhCOCH<sub>3</sub> is defined as the reference level in the H<sup>+</sup> transfer step. The Gibbs free energy barrier of the H<sup>+</sup> transfer step via the direct addition noninnocent mechanism ( $\text{TS-H}_{2,\text{d}}$ , green line) and the ROH assisted noninnocent mechanism ( $\text{TS-H}_{\text{a}}^+$ , black line), defined as  $\Delta G_{\text{D}}^\ddagger(\text{H}^+)$  and  $\Delta G_{\text{R}}^\ddagger(\text{H}^+)$ , is 102.5 and 63.2 kJ/mol, respectively. For the H-bonding stabilized innocent mechanism (blue line) via  $\text{TS-H}_{\text{c,OH}}^+$ , the energy barrier ( $\Delta G_{\text{H}}^\ddagger(\text{H}^+)$ ), defined as the difference between  $\text{TS-H}_{\text{c,OH}}^+$  and the sum of  $2\text{Co}^{\text{III}}$  and PhCOCH<sub>3</sub>, is 56.3 kJ/mol. In addition, the reference point of the hydrogenation of CH<sub>3</sub>COCH<sub>3</sub> and PhCOOCH<sub>3</sub> in the H<sup>+</sup> transfer step is the same as that of PhCOCH<sub>3</sub>. Whereas  $\text{Co}^{\text{III}}-\text{OCHR}_1\text{R}_2$  is the reference state in the H<sup>+</sup> transfer step for the hydrogenation of aldehydes (Figures S9 and S11). The value of  $\text{TS-H}_{\text{a}}^+$  is equal and smaller than that of  $\text{TS-H}_{\text{c,OH}}^+$  for the hydrogenation of PhCHO (Figure S11) and CH<sub>3</sub>COCH<sub>3</sub> (Figure S13), and those two transition states participate in the ROH assisted noninnocent pathway. Thus, we choose the higher energy of  $\text{TS-H}_{\text{c,OH}}^+$  to calculate the  $\Delta G_{\text{R}}^\ddagger(\text{H}^+)$ . Therefore, the ROH assisted noninnocent mechanism is competitive with the H-bonding stabilized innocent mechanism for the hydrogenation of PhCHO (68.5 vs 68.5 kJ/mol) and CH<sub>3</sub>COCH<sub>3</sub> (70.0 vs 70.0 kJ/mol) (Table 1). All Gibbs free energy barriers of the hydrogenation of these substrates catalyzed by  $2\text{Co}^{\text{III}}$  are shown in Table 1.

For the  $\text{Co}^{\text{III}}$  catalyzed reactions (Table 1), the rate-determining step of CH<sub>2</sub>O and PhCHO hydrogenation is the H<sup>+</sup> transfer (70.8 and 68.5 kJ/mol, respectively), while that of PhCOCH<sub>3</sub> and PhCOOCH<sub>3</sub> hydrogenation is the H<sup>-</sup> transfer (67.3 and 102.0 kJ/mol, respectively). For CH<sub>3</sub>COCH<sub>3</sub> hydrogenation, the energy barriers of H<sup>-</sup> and H<sup>+</sup> transfer are very close (69.2 vs 70.0 kJ/mol). For the H<sup>+</sup> transfer step, it is noted that the direct H<sub>2</sub> addition noninnocent mechanism has the highest barrier (107.1, 117.9, 99.4, 102.5, and 157.6 kJ/mol, respectively) and is least favorable. The H-bonding stabilized innocent mechanism is the most favorable pathway for all tested substrates in H<sup>+</sup> transfer step.

Compared with the  $\text{Co}^{\text{III}}$  catalytic cycle, Figure 3 displays two new reaction pathways catalyzed by  $2\text{Co}^{\text{I}}$ . One is in the H<sup>-</sup> transfer step for the PhCOCH<sub>3</sub> hydrogenation via inner-sphere mechanism (purple line), and  $\Delta G_{\text{I}}^\ddagger(\text{H}^-)$  is the energy difference between  $\text{TS-H}_{\text{I}}^-$  (33.5 kJ/mol) and the sum of  $2\text{Co}^{\text{I}}$  and PhCOCH<sub>3</sub> (0.0 kJ/mol). Another one undergoes the H<sup>+</sup> transfer step via the non-H-bonding stabilized innocent mechanism ( $\Delta G_{\text{N}}^\ddagger(\text{H}^+)$ , brown line), and the barrier (87.5 kJ/mol) is the energy difference between  $\text{TS-H}_{\text{c}}^+$  (61.8 kJ/mol) and the reference state  $\text{Co}^{\text{I}}-\text{OCHR}_1\text{R}_2$  (-25.7 kJ/mol).

Table 2. Gibbs Free Energy Barrier for All Substrates Catalyzed by  $2\text{Co}^{\text{I}}$ 

$\Delta G^\ddagger$ (kJ/mol)	$\text{H}^-$ transfer		$\text{H}^+$ transfer			
	outer-sphere	inner-sphere	direct addition noninnocent	ROH assisted noninnocent	H-bonding stabilized innocent	non-H-bonding stabilized innocent
$\text{CH}_2\text{O}$	31.4	13.6	105.2	61.9	54.0	92.4
PhCHO	45.1	25.8	118.3	63.0	60.9	93.4
$\text{CH}_3\text{COCH}_3$	54.6	58.1	106.3	65.3	59.3	89.2
PhCOCH <sub>3</sub>	47.9	33.5	114.3	63.3	63.3	87.5
PhCOOCH <sub>3</sub>	86.0	83.1	145.7	85.9	72.1	94.6

The energy barrier of the hydride transfer catalyzed by  $2\text{Co}^{\text{I}}$  via inner-sphere ( $\Delta G_{\text{H}}^\ddagger(\text{H}^-)$ ) and outer-sphere ( $\Delta G_{\text{O}}^\ddagger(\text{H}^-)$ ) mechanisms for PhCOCH<sub>3</sub> hydrogenation relative to the starting point (sum of  $2\text{Co}^{\text{I}}$  and PhCOCH<sub>3</sub>) is 33.5 and 47.9 kJ/mol, respectively. The sum of  $2\text{Co}^{\text{I}}$  and the corresponding  $\text{R}_1\text{R}_2\text{C}=\text{O}$  is the reference state of the  $\text{H}^-$  transfer step for the hydrogenation of  $\text{CH}_3\text{COCH}_3$  and PhCOOCH<sub>3</sub>. For the hydrogenation of aldehydes, we found that there exists a more favorable coordinated structure of  $2\text{Co}^{\text{I}}\text{-R}_1\text{R}_2\text{C}=\text{O}$  (Figures S10 and S12), which is the real reference point of the  $\text{H}^-$  transfer step. For the  $\text{H}^-$  transfer, the inner-sphere mechanism is more preferred than the outer-sphere mechanism, except for  $\text{CH}_3\text{COCH}_3$  (Table 2).

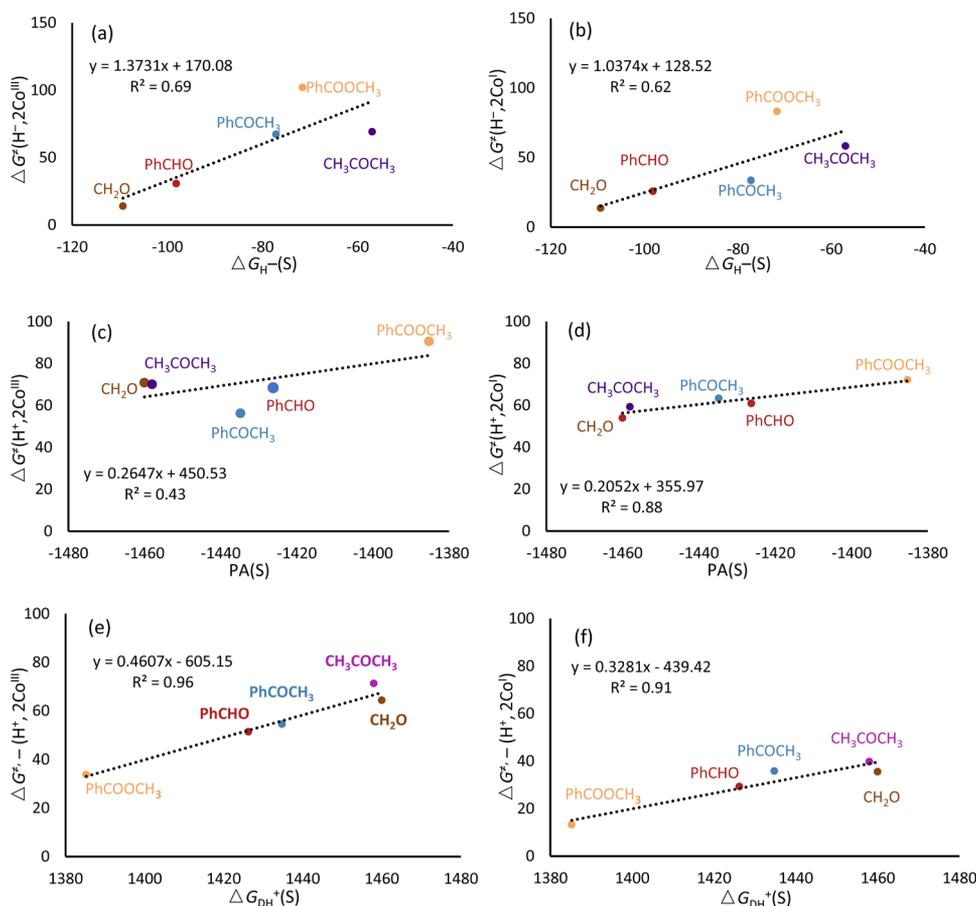
For PhCOCH<sub>3</sub> hydrogenation, the reference point of the  $\text{H}^+$  transfer is  $\text{Co}^{\text{I}}\text{-OCHR}_1\text{R}_2$ , and this is different from the  $\text{Co}^{\text{III}}$ -catalyzed hydrogenation. The Gibbs free energy barrier for the  $\text{H}^+$  transfer via the corresponding transition state,  $\Delta G_{\text{H}}^\ddagger(\text{H}^+)$  (blue line),  $\Delta G_{\text{N}}^\ddagger(\text{H}^+)$  (brown line) and  $\Delta G_{\text{D}}^\ddagger(\text{H}^+)$  (green line) is 63.3, 87.5, and 114.3 kJ/mol, respectively. The Gibbs free energy barrier for the  $\text{H}^+$  transfer via the transition state  $\text{TS-H}_{\text{c,OH}}^+$  of the ROH assisted noninnocent route ( $\Delta G_{\text{R}}^\ddagger(\text{H}^+)$ , black line) is 63.3 kJ/mol, the same as that via the transition state  $\Delta G_{\text{H}}^\ddagger(\text{H}^+)$  (blue line); and both routes should be competitive. All Gibbs free energy barriers of the hydrogenation of these substrates catalyzed by  $2\text{Co}^{\text{I}}$  are shown in Table 2, where the reference level for  $\text{H}^+$  transfer is  $\text{Co}^{\text{I}}\text{-OCHR}_1\text{R}_2$  for all substrates. It shows that  $\text{H}^+$  transfer is the rate-determining step for the hydrogenation of  $\text{CH}_2\text{O}$  (54.0 kJ/mol), PhCHO (60.9 kJ/mol),  $\text{CH}_3\text{COCH}_3$  (59.3 kJ/mol), and PhCOCH<sub>3</sub> (63.3 kJ/mol) via the H-bonding stabilized innocent mechanism, and both direct  $\text{H}_2$  addition noninnocent and non-H-bonding innocent mechanisms are unfavorable. On the contrary,  $\text{H}^-$  transfer is the rate-determining step for PhCOOCH<sub>3</sub> hydrogenation via an inner-sphere mechanism (83.1 kJ/mol).

It is clear that the catalytic cycle based on  $2\text{Co}^{\text{I}}$  is kinetically more favorable than that of  $2\text{Co}^{\text{III}}$  by 16.8, 7.6, 10.7, 4.0, and 18.9 kJ/mol for  $\text{CH}_2\text{O}$ , PhCHO,  $\text{CH}_3\text{COCH}_3$ , PhCOCH<sub>3</sub>, and PhCOOCH<sub>3</sub>, respectively.

It is interesting to compare our results with those reported previously. For the hydrogenation of PhCOCH<sub>3</sub> catalyzed by  $2\text{Co}^{\text{I}}$ , Qi et al., reported the  $\text{H}^+$  transfer via direct  $\text{H}_2$  addition noninnocent pathway as the rate-determining step (122.1 kJ/mol),<sup>57</sup> which is close to our value of 114.3 kJ/mol for the same pathway. While our results show that the H-bonding stabilized innocent mechanism has much lower barrier of 63.3 kJ/mol and is the rate-determining step, and this is reasonable and compatible with the reaction condition at room temperature and low  $\text{H}_2$  pressure. It is also noted that the hydrogenation

mechanisms of PhCOOCH<sub>3</sub> and PhCHO using  $2\text{Co}^{\text{III}}$  are different from those previously reported for the corresponding Fe-PNP pincer catalyst.<sup>58</sup> For PhCOOCH<sub>3</sub> hydrogenation using  $2\text{Co}^{\text{III}}$  as catalyst, the first step  $\text{H}^-$  transfer is the rate-determining step, while Fe-PNP catalyzed PhCOOCH<sub>3</sub> hydrogenation has the H–O dissociation of the formed hemiacetal PhCH(OH)(OCH<sub>3</sub>) as the rate-determining step. In addition, both mechanisms differ also in intermediates and transition states. For PhCHO hydrogenation,  $2\text{Co}^{\text{III}}$  has the  $\text{H}^+$  transfer step via H-bonding stabilized innocent mechanism as the rate-determining step, while Fe-PNP has the first  $\text{H}^-$  transfer as the rate-determining step. On the contrary for using  $2\text{Co}^{\text{I}}$  as catalyst, PhCOOCH<sub>3</sub> hydrogenation prefers an inner-sphere mechanism with the first  $\text{H}^-$  transfer as the rate-determining step and PhCHO hydrogenation prefers an inner-sphere mechanism with the  $\text{H}^+$  transfer step via H-bonding stabilized innocent mechanism as the rate-determining step.

**Correlation between Hydride Affinity, Proton Affinity, and Deprotonation Gibbs Free Energy and Energy Barriers.** To estimate the relationship between Gibbs free energy barriers and the strength of  $\text{H}^-/\text{H}^+$  in reactant and product, some related parameters of catalyst, substrate, and product were calculated. We used the Gibbs free energy barrier of  $\text{H}^+$  transfer via H-bonding stabilized innocent mechanism, as  $\Delta G_{\text{H}}^\ddagger(\text{H}^+)$ , and the Gibbs free energy barrier of  $\text{H}^-$  transfer step via outer-sphere mechanism catalyzed by  $2\text{Co}^{\text{III}}$  and via inner-sphere mechanism catalyzed by  $2\text{Co}^{\text{I}}$ , as  $\Delta G_{\text{O}}^\ddagger(\text{H}^-)$ , for our discussion. Generally,  $\Delta G_{\text{H}^-}$  (hydricity), the opposite of energy difference between compound  $[\text{M} - \text{H}]$  and its dehydrided form  $[\text{M}^+ + \text{H}^-]$  ( $\Delta G_{\text{H}^-}(\text{M} - \text{H}) = G[\text{M}^+] + G[\text{H}^-] - G[\text{M} - \text{H}]$ ), is used to describe the ability to donate/accept hydride, where smaller hydricity value means more hydridic.<sup>59</sup> It is found that the hydricity of  $2\text{Co}^{\text{I}}$  and  $2\text{Co}^{\text{III}}$  is 292.8 and 369.8 kJ/mol, respectively, and the  $2\text{Co}^{\text{I}}$  is more hydridic than  $2\text{Co}^{\text{III}}$ , and this can match and rationalize the lower Gibbs free energy barrier of the  $\text{H}^-$  transfer for  $2\text{Co}^{\text{I}}$  catalyzed hydrogenation reactions. However, it is found that large hydricity difference  $\Delta\Delta G_{\text{H}^-}(\text{Co}^{\text{III}}/\text{Co}^{\text{I}}) = 77.0$  kJ/mol results in small difference in  $\Delta\Delta G_{\text{H}^-}^\ddagger(\text{H}^-, \text{Co}^{\text{III}}/\text{Co}^{\text{I}})$  (0.4, 4.9, 14.6, 33.8, and 18.9 kJ/mol for  $\text{CH}_2\text{O}$ , PhCHO,  $\text{CH}_3\text{COCH}_3$ , PhCOCH<sub>3</sub>, and PhCOOCH<sub>3</sub>, respectively). The similar Gibbs free energy barrier of  $\text{H}^-$  transfer for  $\text{CH}_2\text{O}$  hydrogenation is due to the more exergonic coordination of  $\text{CH}_2\text{O}$  to the vacant site of  $2\text{Co}^{\text{I}}$  (14.6 vs 7.7 kJ/mol for  $2\text{Co}^{\text{I}}$  and  $2\text{Co}^{\text{III}}$ , respectively), and this increases the Gibbs free energy barrier. This drives us to explore whether there exists correlation between  $\Delta G_{\text{H}^-}^\ddagger(\text{H}^-)$  and hydride affinity of substrate  $\Delta G_{\text{H}^-}(S) = G[S - \text{H}^-] - G[S] - G[\text{H}^-]$ , where  $\text{H}^-$  is added to the carbon center of the carbonyl group resulting in alkoxide  $\text{R}_1\text{R}_2\text{CHO}^-$ .



**Figure 4.** Correlation between  $\Delta G^\ddagger$  for hydride transfer and hydride affinity of substrate catalyzed by  $2\text{Co}^{\text{III}}$  (a) and  $2\text{Co}^{\text{I}}$  (b);  $\Delta G^\ddagger$  for proton transfer and PA value of  $\text{R}_1\text{R}_2\text{CHO}^-$  form of product alcohols catalyzed by  $2\text{Co}^{\text{III}}$  (c) and  $2\text{Co}^{\text{I}}$  (d) as well as  $\Delta G^\ddagger$  of alcohol deprotonation and deprotonation  $\Delta G$  of alcohol ( $\text{R}_1\text{R}_2\text{CHOH}$ ) catalyzed by  $2\text{Co}^{\text{III}}$  (e) and  $2\text{Co}^{\text{I}}$  (f).

As shown in Figure 4a, poor correlation between the hydride affinity of substrate and activation energy of the  $\text{H}^-$  transfer step catalyzed by  $2\text{Co}^{\text{III}}$  is found ( $R^2 = 0.69$ ); and even worse correlation is found between the hydride affinity of substrate and activation energy of  $\text{H}^-$  transfer step catalyzed by  $2\text{Co}^{\text{I}}$  via inner-sphere mechanism ( $R^2 = 0.62$ , Figure 4b). Large deviation has been found for  $\text{PhCOOCH}_3$  and excluding  $\text{PhCOOCH}_3$  gives excellent correlation for both  $2\text{Co}^{\text{III}}$  and  $2\text{Co}^{\text{I}}$  cycles ( $R^2 = 0.89$  and  $0.95$ , respectively, Figure S19). Such linear correlation can evaluate the relative energy barrier in the  $\text{H}^-$  transfer step based on the hydride affinity of aldehydes and ketones.

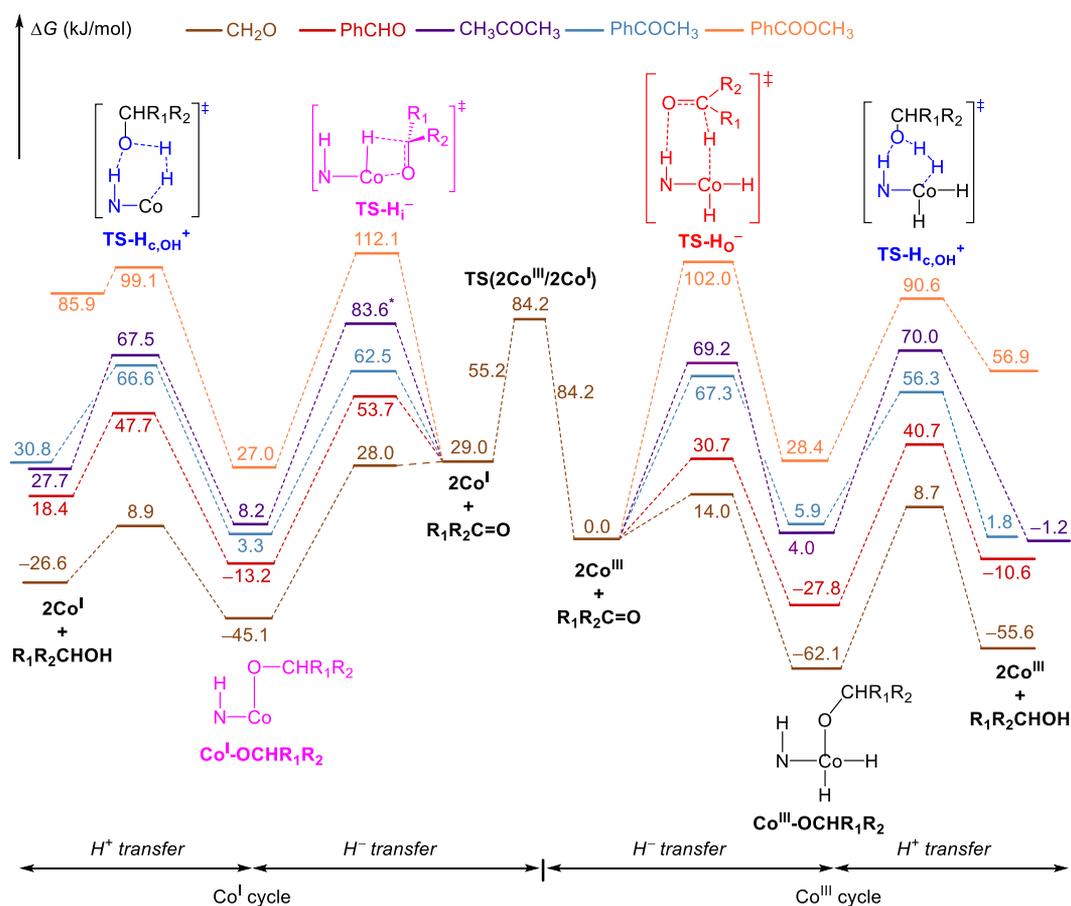
To understand the strong deviation of  $\text{PhCOOCH}_3$ , we applied the ASM (activation strain model) analysis<sup>60</sup> to hydride transfer step of  $\text{PhCOCH}_3$  and  $\text{PhCOOCH}_3$  (Scheme S1 and Table S3). It is found that the distortion energies of catalyst  $\Delta E(\text{cat})$  and substrate  $\Delta E(\text{sub})$  of  $\text{TS}-\text{H}_1^-$  for  $\text{PhCOOCH}_3$  hydrogenation catalyzed by  $2\text{Co}^{\text{I}}$  are 27.6 and 18.5 kJ/mol higher than those for  $\text{PhCOCH}_3$ , as well as  $\Delta E(\text{cat})$  and  $\Delta E(\text{sub})$  of  $\text{TS}-\text{H}_0^-$  for  $\text{PhCOOCH}_3$  hydrogenation catalyzed by  $2\text{Co}^{\text{III}}$  are 14.0 and 16.0 kJ/mol higher than those for  $\text{PhCOCH}_3$ .

For the  $\text{H}^+$  transfer, the proton affinity (PA) of alkoxide  $\text{R}_1\text{R}_2\text{CHO}^-$  form of alcohol ( $\text{PA} = G[\text{R}_1\text{R}_2\text{CHOH}] - G[\text{R}_1\text{R}_2\text{CHO}^-]$ ) correlates with  $\Delta G^\ddagger(\text{H}^+)$  via the H-bonding stabilized innocent mechanism. As shown in Figure 4c,d, the PA value of  $\text{R}_1\text{R}_2\text{CHO}^-$  form of product alcohols and  $\Delta G^\ddagger(\text{H}^+)$  via H-bonding stabilized innocent mechanism is poor for  $2\text{Co}^{\text{III}}$  catalyzed hydrogenation ( $R^2 = 0.43$ ) and good for  $2\text{Co}^{\text{I}}$

catalyzed reaction ( $R^2 = 0.88$ ). The poor correlation can be attributed to the different stability of the  $\text{Co}^{\text{III}}-\text{OCHR}_1\text{R}_2$  which strongly influences the  $\Delta G^\ddagger(\text{H}^+)$  of H-bonding stabilized innocent mechanism. Alternatively, the correlation between the deprotonation Gibbs free energy of alcohol ( $\Delta G_{\text{DH}^+} = G[\text{R}_1\text{R}_2\text{CHO}^-] - G[\text{R}_1\text{R}_2\text{CHOH}]$ ) and the reverse reaction of alcohol deprotonation  $\Delta G^\ddagger_{\text{H}^+}(\text{H}^+)$  (determined by  $\text{TS}-\text{H}_{\text{c,OH}}^+$  for  $2\text{Co}^{\text{III}}$  and  $2\text{Co}^{\text{I}}$ ) was investigated in Figure 4e and Figure 4f. For the reverse reaction of alcohol deprotonation, excellent correlation is found for  $2\text{Co}^{\text{III}}$  ( $R^2 = 0.96$ ) and  $2\text{Co}^{\text{I}}$  ( $R^2 = 0.91$ ). The good relationship between the deprotonation Gibbs free energy of alcohol and reverse barrier  $\Delta G^\ddagger_{\text{H}^+}(\text{H}^+)$  indicates that the energy of transition state ( $\text{TS}-\text{H}_{\text{c,OH}}^+$ ) of  $\text{H}^+$  transfer can be estimated by the reverse reaction of alcohol deprotonation.

**Relationship between  $\text{Co}^{\text{III}}$ - and  $\text{Co}^{\text{I}}$ -Based Cycles.** On the basis of the fact that the catalytic cycle of  $2\text{Co}^{\text{I}}$  is kinetically more favorable than that of  $2\text{Co}^{\text{III}}$  as well as  $2\text{Co}^{\text{I}}$  can be easily converted to the thermodynamically more favored  $2\text{Co}^{\text{III}}$  under  $\text{H}_2$  atmosphere and given temperature, the simplified Gibbs energy profiles involving the  $2\text{Co}^{\text{I}}$  and  $2\text{Co}^{\text{III}}$  interconversion via the corresponding most favorable pathway was presented to discuss the reaction mechanisms. As shown in Figure 5, the Gibbs free energy profiles are divided into  $2\text{Co}^{\text{I}}$  (left) and  $2\text{Co}^{\text{III}}$  (right) cycles.

For the  $2\text{Co}^{\text{III}}$  cycle, the barrier of first step of hydride transfer ( $\text{TS}-\text{H}_0^-$  relative to  $2\text{Co}^{\text{III}} + \text{R}_1\text{R}_2\text{C}=\text{O}$ ) for  $\text{CH}_2\text{O}$  (14.0 kJ/mol),  $\text{PhCHO}$  (30.7 kJ/mol),  $\text{PhCOCH}_3$  (67.3 kJ/mol), and



**Figure 5.** Most favorable Gibbs energy profile for  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ ,  $\text{CH}_3\text{COCH}_3$ ,  $\text{PhCOCH}_3$ , and  $\text{PhCOOCH}_3$  hydrogenation based on  $\text{Co}^{\text{I}}/\text{Co}^{\text{III}}$  catalytic cycle (\* for value labeled by obtained via outer-sphere mechanism).

$\text{CH}_3\text{COCH}_3$  (69.2 kJ/mol) to  $\text{Co}^{\text{III}}\text{-OCHR}_1\text{R}_2$  is lower than that (84.2 kJ/mol) of  $2\text{Co}^{\text{III}}$  to  $2\text{Co}^{\text{I}}$  conversion. The stable resting state can be found for  $\text{CH}_2\text{O}$  and  $\text{PhCHO}$ . The energy barrier of the rate-determining step of  $\text{CH}_2\text{O}$  and  $\text{PhCHO}$  (68.5 kJ/mol) hydrogenation from  $\text{Co}^{\text{III}}\text{-OCHR}_1\text{R}_2$  as well as for  $\text{PhCOCH}_3$  (67.3 kJ/mol) and  $\text{CH}_3\text{COCH}_3$  (70.0 kJ/mol) hydrogenation from sum  $2\text{Co}^{\text{III}}$  and  $\text{R}_1\text{R}_2\text{C=O}$  is also lower than that of conversion from  $2\text{Co}^{\text{III}}$  to  $2\text{Co}^{\text{I}}$ , indicating that these reactions can take place at low (or even room) temperature and ambient pressure, where  $2\text{Co}^{\text{III}}$  is kept stable.

For the  $2\text{Co}^{\text{I}}$  cycle, the barrier of hydride transfer of  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$  and  $\text{PhCOCH}_3$  through  $\text{TS-H}_i^-$  (-1.0, 24.7, and 33.5 kJ/mol relative to  $2\text{Co}^{\text{I}} + \text{R}_1\text{R}_2\text{C=O}$ , respectively) is lower than that (55.2 kJ/mol) of  $2\text{Co}^{\text{I}}$  and  $2\text{Co}^{\text{III}}$  conversion. In addition, stable resting state  $\text{Co}^{\text{I}}\text{-OCHR}_1\text{R}_2$  (-45.1, -13.2, and 3.3 kJ/mol for  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$  and  $\text{PhCOCH}_3$ , respectively) can be found for all substrates, and the Gibbs energy relative to  $2\text{Co}^{\text{I}} + \text{R}_1\text{R}_2\text{C=O}$  is in the order of  $\text{CH}_2\text{O}$  (-74.1 kJ/mol),  $\text{PhCHO}$  (-42.2 kJ/mol), and  $\text{PhCOCH}_3$  (-25.7 kJ/mol). Starting from resting state  $\text{Co}^{\text{I}}\text{-OCHR}_1\text{R}_2$ , the barrier of the rate-determining step of  $\text{CH}_2\text{O}$  (54.0 kJ/mol),  $\text{PhCHO}$  (60.9 kJ/mol) and  $\text{PhCOCH}_3$  (63.3 kJ/mol) hydrogenation via  $\text{TS-H}_{c,\text{OH}}^+$  is lower than the intrinsic barrier of  $\text{Co}^{\text{I}}\text{-OCHR}_1\text{R}_2$  to  $2\text{Co}^{\text{III}}$  via  $\text{TS}(2\text{Co}^{\text{III}}/2\text{Co}^{\text{I}})$  by 75.3, 36.5, and 17.6 kJ/mol for  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ , and  $\text{PhCOCH}_3$ , respectively. These indicate that these reactions also can take place at low (or even room) temperature and ambient  $\text{H}_2$  pressure, where  $2\text{Co}^{\text{I}}$  is also kept stable.

Comparing the  $2\text{Co}^{\text{III}}$  and  $2\text{Co}^{\text{I}}$  cycles shows that both cycles can operate independently under relative low temperature and

ambient  $\text{H}_2$  pressure in which the  $2\text{Co}^{\text{I}}$  and  $2\text{Co}^{\text{III}}$  interconversion does not take place for  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ , and  $\text{PhCOCH}_3$  hydrogenation.

For the hydrogenation of  $\text{PhCOOCH}_3$  to hemiacetal on the  $2\text{Co}^{\text{III}}$  cycle, the barrier is much higher than that of the catalyst conversion from  $2\text{Co}^{\text{III}}$  to  $2\text{Co}^{\text{I}}$  (102.0 vs 84.2 kJ/mol), and high  $\text{H}_2$  pressure is therefore needed to maintain the stability of  $2\text{Co}^{\text{III}}$  and high temperature is needed to overcome the barrier. The rate-determining step of hydrogenation of  $\text{CH}_3\text{COCH}_3$  and  $\text{PhCOOCH}_3$  on the  $2\text{Co}^{\text{I}}$  cycle is hydride transfer step via  $\text{TS-H}_{o^-}$  (83.6 kJ/mol) and via  $\text{TS-H}_i^-$  (112.1 kJ/mol), respectively, and their corresponding relative barriers are close (54.6 kJ/mol) or higher (83.1 kJ/mol) than that of  $2\text{Co}^{\text{I}}$  dehydrogenation to  $2\text{Co}^{\text{III}}$  (55.2 kJ/mol). Therefore, it is neither kinetically nor thermodynamically possible to maintain the stability of  $2\text{Co}^{\text{I}}$  at higher temperature under  $\text{H}_2$  atmosphere, instead,  $2\text{Co}^{\text{I}}$  will be converted to  $2\text{Co}^{\text{III}}$  at first. Next,  $2\text{Co}^{\text{III}}$  participates in  $\text{CH}_3\text{COCH}_3$  and  $\text{PhCOOCH}_3$  hydrogenation and this becomes the same as found on the  $2\text{Co}^{\text{III}}$  cycle. The whole Gibbs free energy profiles in Figure 5 shows that  $2\text{Co}^{\text{III}}$  cycle is more favored kinetically (70.0 vs 83.6 kJ/mol for  $\text{CH}_3\text{COCH}_3$  and 102.0 vs 112.1 kJ/mol for  $\text{PhCOOCH}_3$ ) and thermodynamically (-1.2 vs 27.7 kJ/mol for  $\text{CH}_3\text{COCH}_3$  and 56.9 vs 85.9 kJ/mol for  $\text{PhCOOCH}_3$ ) than that of the  $2\text{Co}^{\text{I}}$  cycle, and this agrees with the Curtin-Hammett principle. A study of alkene hydrogenation catalyzed by bis(phosphine)cobalt dialkyl complexes revealed that reaction prefers nonredox or redox route for alkene with or without hydroxyl group.<sup>61</sup> Similarly, on the basis of above data, we

conclude that mechanism of hydrogenation of carbonyl compounds is dependent on specific substrate.

## CONCLUSIONS

For better understanding the mechanisms of hydrogenation and dehydrogenation reactions catalyzed by aliphatic PNP ligated trivalent  $\text{Co}^{\text{III}}$  and monovalent  $\text{Co}^{\text{I}}$  amine and amido complexes, we computed their structures, stability, and activation barriers in singlet, triplet, and open-shell singlet states. The  $\text{Co}^{\text{III}}$  amine and amido complexes as well as their interconversion transition state prefer singlet ground states. On the contrary, the  $\text{Co}^{\text{I}}$  amine and amido complexes as well as their interconversion transition state prefer triplet ground states. Spin exchange has been found for the interconversion from the  $\text{Co}^{\text{III}}$  amine to the  $\text{Co}^{\text{I}}$  amine complexes as well as from the  $\text{Co}^{\text{III}}$  amido to the  $\text{Co}^{\text{I}}$  amido complexes. The computed Gibbs free energy profiles show that interconversion of the trivalent  $\text{Co}^{\text{III}}$  complexes has higher barrier and is more endergonic than that of the corresponding monovalent  $\text{Co}^{\text{I}}$  complexes, and the  $\text{Co}^{\text{III}}$  complexes should prefer outer-sphere mechanisms and the  $\text{Co}^{\text{I}}$  complexes should undergo inner-sphere mechanisms.

Both innocent and noninnocent mechanisms of the hydrogenation of  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ ,  $\text{CH}_3\text{COCH}_3$ ,  $\text{PhCOCH}_3$ , and  $\text{PhCOOCH}_3$  are computed. For the  $2\text{Co}^{\text{III}}$  catalyzed cycle,  $\text{H}^+$  transfer is the rate-determining step for  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ , and ROH assisted noninnocent and H-bonding stabilized innocent mechanism are competitive for  $\text{PhCHO}$  hydrogenation, but the former mechanism is less favorable for  $\text{CH}_2\text{O}$  hydrogenation. For the hydrogenation of  $\text{PhCOCH}_3$  and  $\text{PhCOOCH}_3$ , however, the rate-determining step is the  $\text{H}^-$  transfer via outer-sphere mechanism. The  $\text{H}^+$  and  $\text{H}^-$  transfer steps have similar free energy barriers for  $\text{CH}_3\text{COCH}_3$  hydrogenation.

For the  $2\text{Co}^{\text{I}}$  catalyzed cycle,  $\text{H}^+$  transfer step is the rate-determining step for the hydrogenation of  $\text{CH}_2\text{O}$ ,  $\text{PhCHO}$ ,  $\text{CH}_3\text{COCH}_3$ , and  $\text{PhCOCH}_3$ , as well as the Gibbs energy barriers via ROH assisted noninnocent and H-bonding stabilized innocent mechanism are almost the same for  $\text{PhCHO}$  and  $\text{PhCOCH}_3$ , but the H-bonding stabilized innocent mechanism is more favorable for  $\text{CH}_2\text{O}$  and  $\text{CH}_3\text{COCH}_3$ . For  $\text{PhCOOCH}_3$  hydrogenation, however, inner-sphere mechanism of  $\text{H}^-$  transfer is the rate-determining step. Overall,  $2\text{Co}^{\text{I}}$  shows better catalytic performance compared with  $2\text{Co}^{\text{III}}$ .

The good relationship between the hydride affinity of substrate and the barrier of  $\text{H}^-$  transfer for aldehydes,  $\text{CH}_2\text{O}$  and  $\text{PhCHO}$ , as well as ketones,  $\text{CH}_3\text{COCH}_3$  and  $\text{PhCOCH}_3$ , was found. Deviation of  $\text{PhCOOCH}_3$  can be rationalized by the higher distortion energies of catalyst  $\Delta E(\text{cat})$  and substrate  $\Delta E(\text{sub})$  for  $\text{PhCOOCH}_3$  hydrogenation than those for  $\text{PhCOCH}_3$ . In addition, excellent linear correlation between the deprotonation energy of alcohol and the reverse barrier of  $\text{H}^+$  transfer was found. These indicate that the energy of transition state of  $\text{H}^-/\text{H}^+$  transfer via the most favorable pathway can be estimated by the hydride affinity of substrate and deprotonation energy of product.

Since the hydrogenation of  $\text{CH}_3\text{COCH}_3$  and  $\text{PhCOOCH}_3$  has close (54.6 kJ/mol) or higher (83.1 kJ/mol) barrier than that (55.2 kJ/mol) of the conversion of  $2\text{Co}^{\text{I}}$  to  $2\text{Co}^{\text{III}}$  catalysts and high temperature is needed to overcome the barriers,  $2\text{Co}^{\text{I}}$  is unstable and will be firstly converted to  $2\text{Co}^{\text{III}}$  catalyst, which is the active catalyst and the  $2\text{Co}^{\text{I}}$  cycle will be not accessible. All these show the substrate dependent reaction mechanisms.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acscatal.0c05562>.

Benchmarking test (S1); full Gibbs free energy profiles including all the considered spin states with solvation effect of 1,4-dioxane and toluene (S2 and S3); data of all structures about minimum energy crossing point (MECP) on Gibbs free energy profile with solvation effect of 1,4-dioxane solvent (S4); full Gibbs free energy profile including all the considered spin states calculated with gas-phase mode (S5 and S6); comparison of the minimum path using different calculation model (S7); effect of chloro and methoxy substituents (S8 and S9); Gibbs free energy profiles of hydrogenation of carboxyl compounds catalyzed by  $\text{Co}^{\text{I}}/\text{Co}^{\text{III}}$ -catalysts (S10–S14); linear relationship between hydride affinity of substrate and Gibbs energy barrier of hydride transfer step (S15); ASM (activation strain model) analysis for  $\text{PhCOCH}_3$  and  $\text{PhCOOCH}_3$  (S16); absolute energies for all optimized structures (S17–S25); Cartesian coordinates for all the optimized structures are presented (S26–S170); and impact on Gibbs free energy caused by int = superfine and int = ultrafine (S171) (PDF)

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### Notes

The authors declare no competing financial interest.

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