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Citation: *The Journal of Chemical Physics* **139**, 224307 (2013); doi: 10.1063/1.4839575

View online: <http://dx.doi.org/10.1063/1.4839575>

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## Three-chain $B_{6n+14}$ cages as possible precursors for the syntheses of boron fullerenes

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(Received 4 July 2013; accepted 20 November 2013; published online 11 December 2013)

Using the first principle methods, we proposed a series of three-chain boron cages  $B_{6n+14}$  ( $n = 1-12$ ) which are mainly built by fusing three boron semi-double-rings. Their simple geometric structures (approximate  $D_3$  or  $C_3$  symmetry) facilitate their bottom-up syntheses from the hexagonal  $B_7$  and the double-chain boron clusters, such as  $B_2$ ,  $B_4$ ,  $B_6$ ,  $B_8H_2$ ,  $B_{10}H_2$ ,  $B_{12}H_2$ , and the double ring  $B_{20}$ . The spherical shapes of these three-chain boron cages show that they could be taken as the possible precursors to further synthesize the boron fullerenes, such as  $B_{80}$ . Therefore, these three-chain boron cages provide a possible synthesis pathway of the boron fullerenes from the experimentally synthesized small planar boron clusters. © 2013 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4839575>]

Since the striking developments of carbon fullerenes, many boron fullerenes have been proposed theoretically in search of new materials. The famous  $B_{80}$  fullerene proposed by Szwacki *et al.* has structural resemblance with the  $C_{60}$  fullerene, and has 12 pentagons and 20 hexagons, with the 20 additional boron atoms capping the hexagonal rings.<sup>1</sup> Subsequently it was shown that other less symmetric bulk-like precursors, dubbed core-shell structures, are energetically preferred.<sup>2-5</sup> However, De *et al.* had shown that the energy landscape of boron clusters is glasslike and larger boron clusters have many structures which are lower in energy than the cages so that the boron fullerenes, such as  $B_{80}$ , are difficult to be synthesized and/or characterized in experiment.<sup>6</sup> Cagedoping is one of the possible synthesis pathways of the boron fullerenes.<sup>7</sup>

In recent years, many small boron clusters and boron-rich clusters have been found as individual species in the gas phase by joint experimental and theoretical investigations.<sup>8-19</sup> The  $B_n$  ( $n < 20$ ) clusters possess (quasi-)planar structures, in which the hexagonal boron cluster  $B_7$  is one of the basic units in the Aufbau principle for boron clusters.<sup>20,21</sup> The  $B_nH_2^{-/0}$  ( $n = 7-12$ ) and  $B_nH_2^{2-}$  ( $n = 6-22$ ) clusters have a double chain structure terminated by a hydrogen atom on each end.<sup>22-24</sup> As these isolated boron clusters have planar geometries and exhibit aromatic and antiaromatic electronic properties analogous to hydrocarbons, they can potentially be building blocks of new solids and large boron cages.<sup>8</sup> Therefore, we attempt to design a possible bottom-up synthesis of the boron cages and fullerenes from these small planar clusters.

Different with the carbon cages and graphene, the double chain structure plays an important role in the medium boron clusters and two-dimensional (2D) boron sheets. The planar-to-tubular structural transition starts at  $B_{20}$  for neutral clusters<sup>17,25</sup> and the stable  $B_{20}$ ,  $B_{22}$ ,  $B_{24}$ ,  $B_{32}$ , and  $B_{36}$

clusters are closed double chains (double rings).<sup>17,26-30</sup> The double-chain hexagonal  $B_{18}H_4$  cluster is a perfectly planar concentric  $\pi$ -aromatic borannulene, which is the smallest boron hydride cluster with a hexagonal hole.<sup>31</sup> The stable two-dimensional boron sheets, such as  $\alpha$ -,  $\beta$ -,  $\eta_{2/15}$ -, and  $\eta_{4/28}$ -sheets are completely or partially interweaved by the double chains.<sup>32-40</sup> In particular, the unusual stability of  $B_{80}$  fullerene can be explained by its structure of six interwoven double-ring clusters.<sup>1</sup>

From the top-down view of point, the  $B_{80}$  fullerene (Fig. 1(a)) can be decomposed into three  $B_{12}$  fragments and a spherical  $B_{44}$  skeleton (Fig. 1(b)), which can be further decomposed into two hexagonal  $B_7$  and three double-chain  $B_{10}$  clusters (Fig. 1(c)). The  $B_{44}$  skeleton will be called three-chain cages for short because it is spherical and consists mainly of three boron double-chains. As mentioned above, the hexagonal  $B_7$  and a series of double-chain clusters,  $B_2$ ,  $B_4$ ,  $B_6$ ,  $B_8H_2$ ,  $B_{10}H_2$ ,  $B_{12}H_2$ , and the double-ring  $B_{20}$ , had been produced by the laser vaporization of a boron target.<sup>8,22</sup> Therefore, a series of three-chain boron cage could be synthesized from these small planar clusters, and these three-chain cages should be used to further synthesize the boron fullerenes.

In this work, we will investigate the geometric structures, the stability, and the possible synthesis pathway of a series of three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages using the density functional theory (DFT). Beside the  $B_{80}$  fullerene, we also predicted formation of  $B_{44}$  and  $B_{59}$  fullerenes by patching the three-chain  $B_{32}$  and  $B_{38}$  cages with the  $B_4$  and  $B_7$  clusters, respectively.

Since it had been proven that only the PBE,<sup>41</sup> PBE0,<sup>42</sup> TPSS,<sup>43</sup> and TPSSH<sup>44,45</sup> functionals give the same energy order of the  $B_{20}$  isomers as the CCSD(T) predicts,<sup>5</sup> the geometry optimizations were performed at the PBE0/6-31G(d,p) and TPSSH/6-31G(d,p) levels, respectively. The optimized geometric structures of three-chain boron cages have only some negligible difference using the different functionals so that

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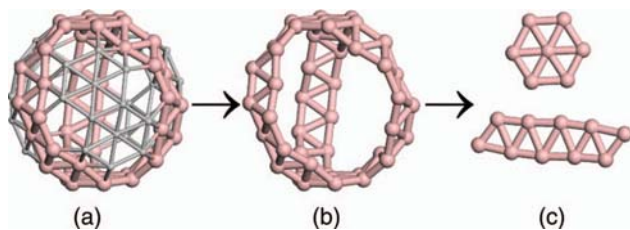


FIG. 1. The top-down decompositions of fullerene  $B_{80}$  into small boron clusters: (a)  $B_{80}$  fullerene, (b) three-chain  $B_{44}$  cage, and (c) the hexagonal  $B_7$  and the double-chain  $B_{10}$  clusters.

only the PBE0 functional was used in the following investigations. For each cage, vibrational frequencies were calculated at the PBE0/6-31G(d,p) level to ensure that the cage corresponded to the minimum on the potential energy surface. The single-point electronic energies were calculated at the PBE0/6-311+G(2d,p)//PBE0/6-31G(d,p) level. All PBE0 and TPSSH calculations of clusters were carried out using the Gaussian 09 program.<sup>46</sup> The PBE calculations of clusters and 2D sheets were performed with the VASP 5.2 package<sup>47,48</sup> using the projector augmented wave (PAW) method<sup>49</sup> and a planewave basis set with a 500 eV kinetic energy cutoff. The Brillouin zone is sampled using k-points with  $0.02 \text{ \AA}^{-1}$  spacing in the Monkhorst-Pack scheme.<sup>50</sup> The boron clusters are placed in a cubic box with a length of 30  $\text{\AA}$ , and the 2D boron sheets are represented by unit cell with a 30  $\text{\AA}$  vacuum region in the normal direction. For geometric optimization, both lattice constants and positions of atoms are fully relaxed. Upon optimization, the forces on all atoms are less than  $0.01 \text{ eV/\AA}$  and the criterion for total energy convergence is  $0.1 \text{ meV/atom}$ .

The shapes and properties of the three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages are depicted in Fig. 2 and Table I. The shapes of  $B_{6n+14}$  ( $n = 2-12$ ) are approximately spherical, and their average radii range from 2.54 to 7.57  $\text{\AA}$ , with maximum relative deviations no more than 13%. In contrast to the boron fullerenes which have only pentagonal and/or hexagonal holes, these three-chain cages have three large holes. In addition, the three-chain  $B_{20}$  cage in Figs. 2(a) and 2(b) is an oblate spheroid with three hexagonal holes, and is  $0.103 \text{ eV/atom}$  less stable than its famous double-ring  $B_{20}$  isomer.

Therefore, the three-chain  $B_{20}$  cage is one of the possible low-lying fullerene isomers of the double-ring  $B_{20}$ .<sup>5</sup>

Since the three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages can be decomposed into three  $B_{4+2n}$  semi-double-rings, it is interesting to compare the stability between the three-chain cages and their double-ring isomers. In Fig. 3, we have plotted the cohesive energies ( $E_c$ ) of the three-chain cages and the double-rings  $B_{6n+14}$  ( $n = 1-12$ ). From  $B_{20}$  to  $B_{86}$  (Fig. 2), the cohesive energies of the three-chain cages increase from  $5.24 \text{ eV}$  to  $5.54 \text{ eV}$ , while those of double-rings from  $5.37 \text{ eV}$  to  $5.54 \text{ eV}$ . Though the small three-chain cages  $B_{6n+14}$  ( $n = 1-4$ ) are obviously less stable than their double-ring isomers, the large ones,  $B_{6n+14}$  ( $n = 5-12$ ), are nearly as stable as their double-ring isomers.

In addition, the stability of the large three-chain cages,  $B_{6n+14}$  ( $n = 6-12$ ), shows a slight odd-even fluctuation. As shown in Fig. 3, the three-chain  $B_{6n+14}$  ( $n = 7, 9$ , and  $11$ ) cages are slightly less stable than their neighbors. This odd-even fluctuation is in agreement with the odd-even alternations of highest occupied molecular orbital-lowest unoccupied molecular orbital (HOMO-LUMO) energy separation (Table I), which serves as a simple measure of chemical stability.<sup>1</sup>

In order to further verify the stability of these three-chain cages, we performed the first principle molecular dynamics (FPMD) simulations using the QUICKSTEP module of CP2K2.3 suite<sup>51,52</sup> at the temperatures of 200, 500, and 700 K for 3.0 ps, respectively. The temperatures at which their geometric structures are maintained are 200 K for  $B_{20}$ , 500 K for  $B_{26}$ , and 700 K for the  $B_{6n+14}$  ( $n = 3-12$ ) cages, respectively (see supplementary material<sup>53</sup>). Consequently, most of the three-chain cages in Fig. 2 are stable in high temperature, except  $B_{20}$  and  $B_{26}$ . To assist future experiments, we presented the computed vertical electron affinity ( $E_{\text{VEA}}$ ) values and HOMO-LUMO ( $E_{\text{H-L}}$ ) gaps of these three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages in Table I.

Though the optimized structures of the three-chain  $B_{6n+14}$  cages have different symmetries ( $D_3$ ,  $C_3$ ,  $C_2$ , and  $C_1$ , Table I), they have approximate symmetry of  $C_3$  for odd  $n$  and of  $D_3$  for even  $n$ . Therefore, the structures of these three-chain boron cages in Fig. 2 are very simple to facilitate their bottom-up syntheses from the hexagonal  $B_7$  cluster and the double-chain boron or boron-rich clusters ( $B_2$ ,  $B_4$ ,  $B_6$ ,  $B_8H_2$ ,

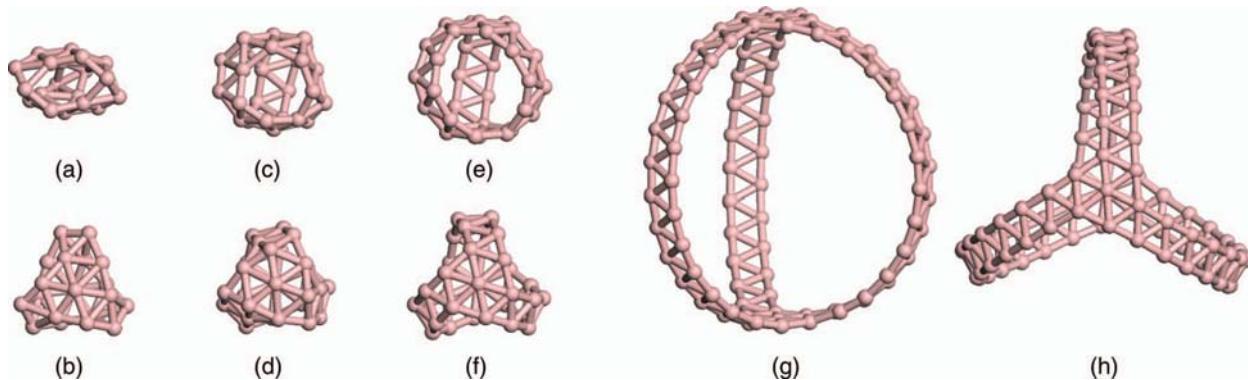


FIG. 2. The side and top views of some three-chain cages:  $B_{20}$  ((a) and (b)),  $B_{26}$  ((c) and (d)),  $B_{32}$  ((e) and (f)), and  $B_{86}$  ((g) and (h)).

TABLE I. The geometric and energetic properties of the three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages and the  $B_{44}^f$ ,  $B_{59}^f$ , and  $B_{80}^f$  fullerenes.<sup>a</sup>

Cage	Sym.	$r_{\text{avg}}$	$\Delta r_{\text{max}}$	$\Delta r/r_{\text{avg}}$	$E_c$	$E_{\text{H-L}}$	$E_{\text{VEA}}$	$\Delta H$	$E_c'$	$E_{2D}$	$\Delta E_c$
B <sub>20</sub>	C <sub>2</sub>	2.17	0.70	32.4	5.24	2.23	2.29	−3095.7	5.37	5.81	0.44
B <sub>26</sub>	C <sub>3</sub>	2.54	0.33	12.9	5.35	2.42	2.17	−2893.2	5.53	5.76	0.23
B <sub>32</sub>	C <sub>3</sub>	3.08	0.30	9.6	5.41	1.88	3.01	−3179.5	5.55	5.74	0.19
B <sub>38</sub>	D <sub>3</sub>	3.55	0.42	11.8	5.45	1.88	2.84	−1743.6	5.58	5.73	0.15
B <sub>44</sub>	C <sub>2</sub>	4.06	0.22	5.3	5.48	1.39	3.62	−2016.1	5.58	5.72	0.14
B <sub>50</sub>	C <sub>3</sub>	4.54	0.34	7.5	5.50	1.69	3.18	−1865.9	5.63	5.72	0.09
B <sub>56</sub>	C <sub>2</sub>	5.05	0.25	5.0	5.51	1.06	3.99	...	5.63	5.72	0.09
B <sub>62</sub>	C <sub>3</sub>	5.55	0.26	4.6	5.52	1.39	3.38	...	5.65	5.71	0.06
B <sub>68</sub>	C <sub>1</sub>	6.06	0.31	5.1	5.52	0.76	4.23	...	5.65	5.71	0.06
B <sub>74</sub>	C <sub>3</sub>	6.56	0.19	2.9	5.54	1.17	3.87	−2257.5	5.66	5.71	0.05
B <sub>80</sub>	C <sub>1</sub>	7.06	0.31	4.3	5.53	0.57	4.38	−2291.1	5.66	5.71	0.05
B <sub>86</sub>	C <sub>3</sub>	7.57	0.16	2.0	5.54	1.03	4.11	−2164.1	5.67	5.71	0.04
B <sub>44</sub> <sup>f</sup>	C <sub>1</sub>	3.16	1.11	35.0	5.43	1.63	3.13	−2016.4			
B <sub>59</sub> <sup>f</sup>	C <sub>1</sub>	3.57	0.76	21.3	5.52	1.42	3.11	−2148.0			
B <sub>80</sub> <sup>f</sup>	T <sub>h</sub>	4.12	0.40	9.6	5.64	2.12	2.94	−6510.0			

<sup>a</sup>The Sym.,  $r_{\text{avg}}$ ,  $\Delta r_{\text{max}}$ , and  $\Delta r/r_{\text{avg}}$  are the symmetry, average radii (Å), maximum deviations of radius (Å), and maximum relative deviations of radius (%), respectively. The  $E_c$ ,  $E_{\text{H-L}}$ ,  $E_{\text{VEA}}$ , and  $E_c'$  are the cohesive energies (eV/atom) including zero-point energy correction, HOMO-LUMO energy separations (eV), vertical electron affinity (eV) at the PBE0 level, and the cohesive energies (eV/atom) using VASP 5.2 package at the PBE level, respectively.  $E_{2D}$  is the cohesive energy (eV/atom) of the corresponding 2D boron sheets.  $\Delta E_c$  is the difference of cohesive energies between the 2D boron sheet and its corresponding cluster.  $\Delta H$  is the standard formation enthalpy (kJ/mol) of the three-chain  $B_{6n+14}$  ( $n = 1-12$ ) cages (from the B<sub>7</sub> and B<sub>2n</sub>/B<sub>2n</sub>H<sub>2</sub> clusters) and the B<sub>44</sub><sup>f</sup>, B<sub>59</sub><sup>f</sup>, and B<sub>80</sub><sup>f</sup> fullerenes (from the corresponding three-chain precursors and the small planar fragments).

B<sub>10</sub>H<sub>2</sub>, B<sub>12</sub>H<sub>2</sub>, B<sub>20</sub>, B<sub>22</sub>, and B<sub>24</sub>). However, the synthesis pathways for the three-chain B<sub>56</sub>, B<sub>62</sub>, and B<sub>68</sub> cages are still unpredictable because of the absence of the double-chain B<sub>14</sub>, B<sub>16</sub>, and B<sub>18</sub> clusters in experiment as well as theoretical prediction.

From the experimentally synthesized and theoretically predicted double-chain clusters, the three-chain cages may be bottom-up synthesized by three types of reactions: (a)  $2B_7 + 3B_{2n} = B_{6n+14}$  ( $n = 1-3$ ), (b)  $2B_7 + 3B_{2n}H_2 = B_{6n+14} + 3H_2$  ( $n = 4-6$ ), and (c)  $2B_7 + 3B_{2n} = B_{6n+14}$  ( $n = 10-12$ ) (Figs. 4(a)–4(c), respectively). Though these re-

actions are strongly exothermic and their standard enthalpies are from −1743.6 to −3095.7 kJ/mol (Table I), the production of the three-chain  $B_{6n+14}$  cages remains a challenge because their two reactants can yield many other possible products, such as the 2D boron sheets and 1D nanotubes.

The 2D  $B_{6n+14}$  parallelogram sheets (Fig. 5(a)) that are formed by the hexagonal B<sub>7</sub> and double-chain B<sub>2n</sub>/B<sub>2n</sub>H<sub>2</sub>

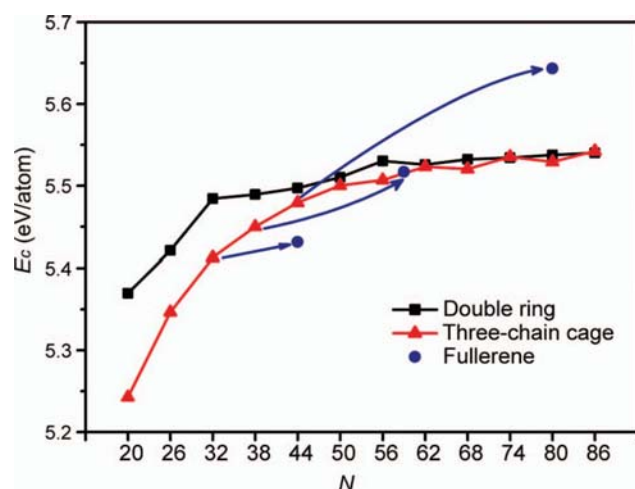


FIG. 3. Cohesive energy ( $E_c$ ) per atom as a function of the number of atoms ( $N$ ) in the  $B_N$  clusters. The squares, the triangles, and the circles correspond to the double rings, the three-chain cages, and the boron fullerenes patched from the three-chain cages. The arrows show increases in cohesive energy after being patched with the small clusters.

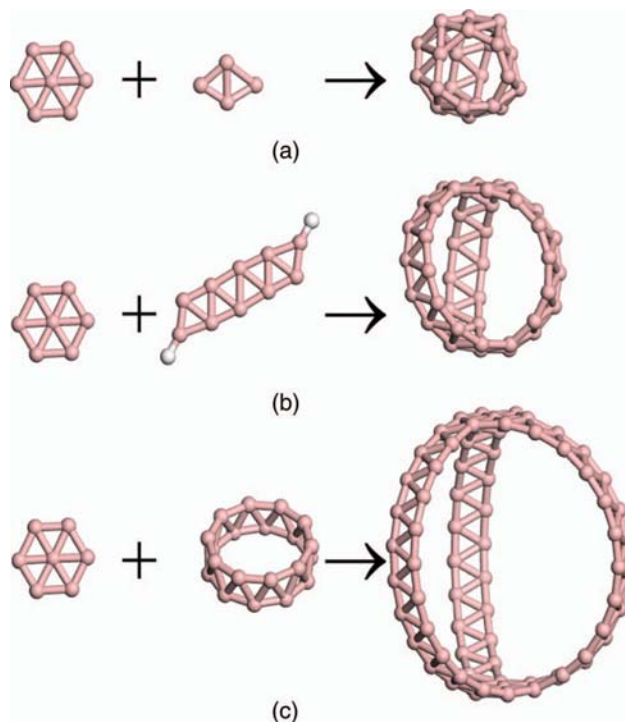


FIG. 4. The bottom-up syntheses of the three-chain B<sub>26</sub> (a), B<sub>44</sub> (b), and B<sub>74</sub> (c) cages from B<sub>7</sub> and B<sub>4</sub>, B<sub>10</sub>H<sub>2</sub>, and B<sub>20</sub> clusters, respectively.



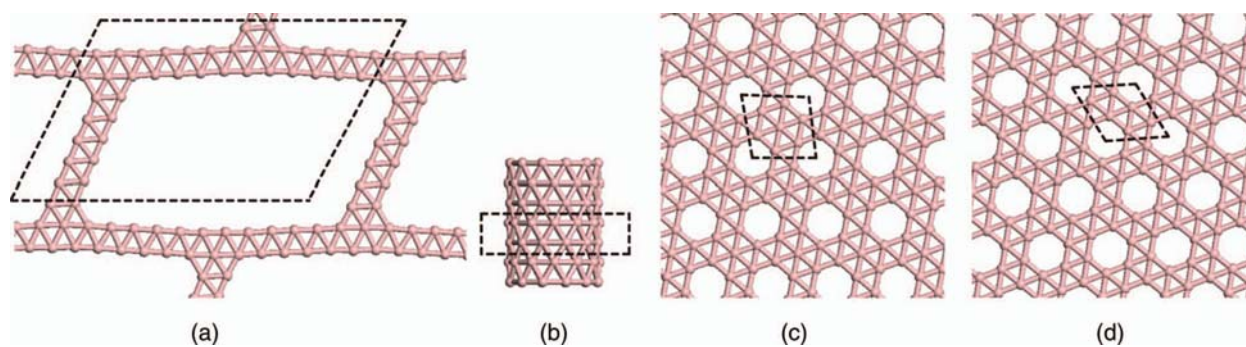


FIG. 5. ((a)–(d)) The geometric structures of the 2D  $B_{38}$  sheet (a representative  $B_{6n+14}$  sheet), 1D  $B_{24}$  tube,  $\alpha_1$ - and snub-sheets.

are more stable than their corresponding clusters in thermodynamics (Table I). Except  $B_{20}$ , the differences ( $\Delta E_c$ ) of cohesive energies between the 2D  $B_{6n+14}$  ( $n = 2$ –12) sheets and their corresponding three-chain clusters are only 0.04–0.23 eV/atom (Table I) and much less than that (0.38 eV/atom at the PBE level using VASP 5.2 package) between the graphene and  $C_{60}$  fullerene. The three-chain  $B_{6n+14}$  ( $n = 1$ –12) cages may also be formed by the graphene-to-fullerene-like transformation<sup>54</sup> from their 2D parallelogram isomers. Consequently, both the three-chain  $B_{6n+14}$  ( $n = 2$ –12) cages and their 2D parallelogram isomers should be yielded in experiment.

Because of the highly reactive nature of the  $B_n$  clusters, the reactant species can individually form 1D tubes or 2D sheets. The double-ring  $B_{20}$ ,  $B_{22}$ , and  $B_{24}$  can form the 1D tubes (Fig. 5(b)) with cohesive energies of 5.49, 5.51, and 5.52 eV/atom, respectively, which indicates that the 1D  $B_{20}$ ,  $B_{22}$ , and  $B_{24}$  tubes are less stable than their three-chain products ( $B_{6n+14}$  cages,  $n = 10$ –12). The hexagonal  $B_7$  and the double-chain  $B_6$  can form the  $\alpha_1$ - and snub-sheets with the cohesive energies of 5.95 and 5.93 eV/atom, respectively (Figs. 5(c) and 5(d)).<sup>34,55</sup> Obviously, the  $\alpha_1$ - and snub-sheets are more stable than the three-chain boron clusters as well as their 2D parallelogram isomers. To avoid the formation of the  $\alpha_1$ - and snub-sheets, we can choose the charged  $B_7$  and  $B_{2n}/B_{2n}H_2$  reactants. Therefore, the (charged) three-chain boron cages and the 2D parallelogram sheets should be yielded selectively from  $B_7^+ + B_{2n}^-/B_{2n}H_2^-$  or  $B_7^- + B_{2n}^+/B_{2n}H_2^+$  reactants, whose cluster beam should be produced by laser vaporization of a boron target with helium (containing some  $H_2$  as needed) as the carrier gas and should be mass-selected using time-of-flight mass spectrometry.<sup>22</sup>

The spherical structure with three large holes and a cavity of the three-chain cages  $B_{6n+14}$  ( $n = 3$ –12) in Fig. 2 implies that they could be taken as the possible precursors for the bottom-up syntheses of the boron fullerenes as well as the “core-shell” stuffed boron fullerenes. Our calculations demonstrated that the three-chain cages  $B_{32}$  and  $B_{38}$  could be patched with three rhombic  $B_4$  and three hexagonal  $B_7$  clusters to yield the  $B_{44}^f$  and  $B_{59}^f$  fullerenes, respectively (Figs. 6(a) and 6(b)). Similarly, the three-chain  $B_{44}$  cage can form the  $B_{80}^f$  fullerene by patching concomitantly with three  $B_7$  and three  $B_5$  planar clusters (Fig. 6(c)). These reactions are also strongly exothermic in thermodynamics and their

standard enthalpies of reaction are  $-2016.4$ ,  $-2148.0$ , and  $-6510.0$  kJ/mol for the  $B_{44}$ ,  $B_{59}$ , and  $B_{80}$  fullerenes, respectively (Table I). The cohesive energies of the  $B_{44}$ ,  $B_{59}$ , and  $B_{80}$  fullerenes increase by about 0.02, 0.07, and 0.16 eV/atom more than those of the three-chain  $B_{32}$ ,  $B_{38}$ , and  $B_{44}$  cages, respectively (Table I and Fig. 3). In particular, the three-chain skeletons are almost preserved in the patched fullerenes, which means that the three-chain  $B_{6n+14}$  cages should play an essential role in the bottom-up syntheses of the boron fullerenes. Furthermore, when some small boron clusters or metal atom(s) can be stuffed in the core of the three-chain boron cages, the “core-shell”-type boron fullerenes or the endohedral metalloborofullerenes<sup>56,57</sup> should be formed.

In summary, a series of three-chain boron cages  $B_{6n+14}$  ( $n = 1$ –12) were proposed with the structure of three fused

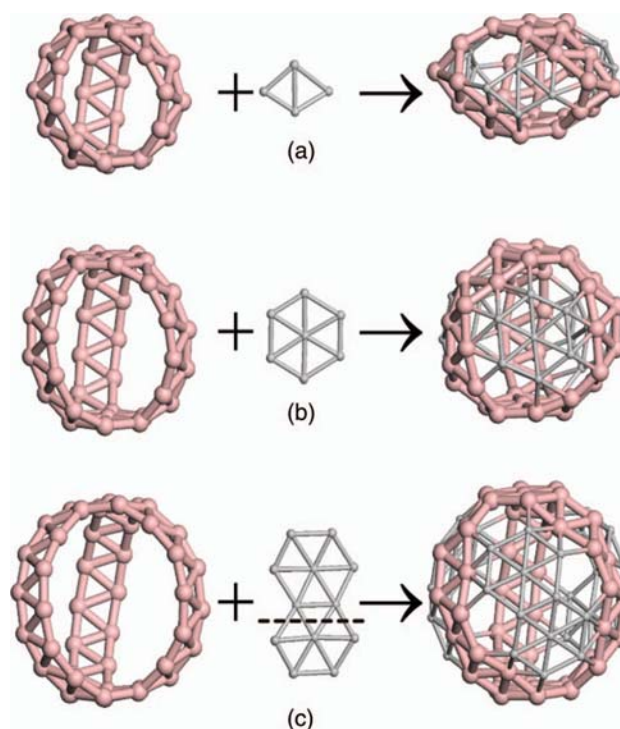


FIG. 6. The bottom-up syntheses of boron fullerenes from the three-chain  $B_{32}$ ,  $B_{38}$ , and  $B_{44}$  cages to the  $B_{44}^f$  (a),  $B_{59}^f$  (b), and  $B_{80}^f$  (c) fullerenes, respectively.

semi-double-rings. Though some of them are less stable than their double ring isomers, their simple geometric structures facilitate the bottom-up syntheses from the small planar boron clusters. Their formation reactions from the hexagonal B<sub>7</sub> and the double-chain boron clusters are strongly competed by their 2D parallelogram sheets and the other 2D sheets. To avoid the formation of the  $\alpha_1$ - and snub-sheets of the individual B<sub>n</sub> reactant species, the optimal reactants should be B<sub>7</sub><sup>+</sup> + B<sub>2n</sub><sup>-</sup>/B<sub>2n</sub>H<sub>2</sub><sup>-</sup> or B<sub>7</sub><sup>-</sup> + B<sub>2n</sub><sup>+</sup>/B<sub>2n</sub>H<sub>2</sub><sup>+</sup> to yield selectively the three-chain boron cages in gas. Because of their spherical shapes, the three-chain B<sub>6n+14</sub> cages can be taken as the possible precursors to synthesize the boron fullerenes, such as B<sub>44</sub>, B<sub>59</sub>, and B<sub>80</sub> fullerenes. Consequently, the three-chain boron cages provide a possible synthesis pathway of the boron fullerenes and metalloborofullerenes towards their experimental realizations and applications in material science.

The authors thank the anonymous reviewers for their valuable comments.

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